

ARITHMETIC THEORY OF q -DIFFERENCE EQUATIONS

(G_q -FUNCTIONS AND q -DIFFERENCE MODULES OF TYPE G , GLOBAL q -GEVREY SERIES)

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ABSTRACT. In the first part of the paper we give a definition of G_q -function and we establish a regularity result, obtained as a combination of a q -analogue of the André-Chudnovsky Theorem [And89, VI] and Katz Theorem [Kat70, §13]. In the second part of the paper, we combine it with some formal q -analogous Fourier transformations, obtaining a statement on the irrationality of special values of the formal q -Borel transformation of a G_q -function.

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1. INTRODUCTION

A G -function, notion introduced by C.L. Siegel in 1929, is a formal power series $y = \sum_{n \geq 0} y_n x^n$ with coefficients in the field of algebraic numbers $\overline{\mathbb{Q}}$, such that:

- (1) the series y is solution of a linear differential equation with coefficients in $\overline{\mathbb{Q}}(x)$ (condition that actually ensures that the coefficients of y are contained in a number field K);
- (2) there exist a sequence of positive numbers $N_n \in \mathbb{N}$ and a positive constant C such that $N_n y_s$ is an integer of K for any $0 \leq s \leq n$ and $N_n \leq C^n$;
- (3) for any immersion $K \hookrightarrow \mathbb{C}$, the image of y in $\mathbb{C}[[x]]$ is a convergent power series for the usual norm.

Date: November 12, 2009.

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Work partially supported by ANR, contract ANR-06-JCJC-0028.

Roughly speaking, a G -module is a, *a posteriori* fuchsien, $K(x)/K$ -differential module whose (uniform part of) solutions are G -functions (*cf.* [Bom81], [CC85], [And89], [DGS94]). More formally, if $Y'(x) = G(x)Y(x)$ is the differential system associate with such a connection in a given basis, one can iterate it obtaining a family of the higher order differential systems $\frac{1}{n!} \frac{d^n Y}{dx^n}(x) = G_{[n]}(x)Y(x)$. Our differential module is of type G if there exist a constant $C > 0$ and a sequence of polynomials $P_n(x) \in \mathbb{Z}[x]$, such that

- (1) $P_n(x)G_{[s]}(x)$ is a matrix whose entries are polynomials with coefficients in the ring of integers of K , for any $s = 1, \dots, n$;
- (2) the absolute value of the coefficients of $P_n(x)$ is smaller that C^n .

The unsolved Bombieri-Dwork's conjecture says that G -modules *come from geometry*, in the sense that they are extensions of direct summands of Gauss-Manin connections: the precise conjecture is stated in [And89, II]. Y. André proves that a differential module coming from geometry is of type G (*cf.* [And89, V, App.]). More recently, the theory of G -functions has been the starting point for the papers [And00a] and [And00b], where the author develops an arithmetic theory of Gevrey series, allowing for a new approach to some diophantine results, such as the Schidlovskii's theorem.

The question of the existence of an arithmetic theory of q -difference equations was first asked in [And00b]. A naive analogue over a number field of the notion above clearly does not work. In fact, let K be a number field and let $q \in K$, $q \neq 0$, not be a root of unity. We consider formal power series $y \in K[[x]]$ that satisfies conditions 2 and 3 of the definition of G -function given above and that is solution of a nontrivial q -difference equation with coefficients in $K(x)$, *i.e.* :

$$a_\nu(x)y(q^\nu x) + a_{\nu-1}y(q^{n\nu-1}x) + \dots + a_0(x)y(x) = 0,$$

with $a_0(x), \dots, a_\nu(x) \in K(x)$, not all zero. Then the following result by Y. André is the key point of [DV02]:

Proposition 1.1 ([DV02, 8.4.1]). *A series y as above is the Taylor expansion at 0 of a rational function in $K(x)$.*

Other unsuccessful suggestions for a q -analogue of a G -function are made in [DV00, App.]. These considerations may induce to conclude that q -difference equations do not come from geometry over \mathbb{Q} .

Here we propose another approach: we consider a finite extension K of the field of rational function $k(q)$ in q with coefficients in a field k . This is a very natural approach since in the literature, q very often considered as a parameter. Since K is a global field, we can define a G_q -function to be a series in $K[[x]]$, solution of a q -difference equation with coefficients in $K(x)$, satisfying a straightforward analogue of conditions 2 and 3 of the definition above. As far as the definition of q -difference modules of type G is concerned only the places of K modulo whom q is a root of unity - that we will briefly call cyclotomic places - comes into the picture (*cf.* Proposition 3.1 below). In fact, consider a q -difference system

$$(1.1.1) \quad Y(qx) = A(x)Y(x),$$

with $A(x) \in Gl_\nu(K(x))$: its solutions can be interpreted as the horizontal vectors of a $K(x)$ -free module M of rank ν with respect to a semilinear bijective operator Σ_q verifying $\Sigma_q(f(x)m) = f(qx)\Sigma_q(m)$ for any $f(x) \in K(x)$ and any $m \in M$. We consider the q -derivation:

$$d_q(f(x)) = \frac{f(qx) - f(x)}{(q-1)x}$$

and its iterations:

$$\frac{d_q^n}{[n]_q!}, \text{ with } [0]_q! = [1]_q! = 1 \text{ and } [n]_q! = \frac{q^n - 1}{q - 1} [n - 1]_q!.$$

We can obtain from (1.1.1) a whole family of systems:

$$\frac{d_q^n}{[n]_q!} Y(x) = G_{[n]}(x)Y(x),$$

where $G_1(x) = \frac{A(x)-1}{(q-1)x}$ and $\frac{q^n-1}{q-1} G_{[n]}(x) = G_{[1]}(x)G_{[n-1]}(qx) + d_q G_{[n-1]}(x)$. The fact that the denominators $[n]_q!$ of the iterated derivations $\frac{d_q^n}{[n]_q!}$ have positive valuation only at the cyclotomic places has the consequences that "there is no arithmetic growth" at the noncyclotomic places (*cf.* §3 below for a

precise formulation). Moreover, an important role in the proofs is played by the reduction of q -difference systems modulo a cyclotomic place: this means that we specialize q to a root of unity and we study the nilpotence properties of the obtained system. In characteristic zero, one automatically obtain an iterative q -difference module, in the sense of C. Hardouin [Har07].

The role played by the cyclotomic valuations, and therefore by roots of unity, points out some analogies with other topics:

- The Volume Conjecture predicts a link between the hyperbolic volume of the complement of an hyperbolic knot and the asymptotic of the sequence $J_n(\exp(2i\pi/n))$, where $J_n(q)$ is an invariant of the knot called n -th Jones polynomial. The Jones polynomials are Laurent polynomials in q such that the generating series $\sum_{n \geq 0} J_n(q)x^n$ is solution of a q -difference equations with coefficients in $\mathbb{Q}(q)(x)$ (cf. [GL05]): the situation is quite similar to the one considered in the present paper. The q -difference equations appearing in this topological setting have, in general, irregular singularities, differently from the q -difference operators of type G , that are regular singular. To involve some irregular singular operators in the present framework, one should consider some formal q -Fourier transformations and develop a global theory of q -Gevrey series, in the wake of [And00a]: this is the topic of the second part of the paper.
- As already point out, an important role is played by the reduction of q -difference systems modulo the cyclotomic valuations. Conjecturally, the growth at cyclotomic places should be enough to describe the whole theory (cf. §3). It is natural to ask whether q -difference equations, that seem not to “come from geometry over \mathbb{Q} ”, may have some geometric origin, in the sense of the geometry over \mathbb{F}_1 (cf. [Sou04], [CC08]).

Notice that in [Man08], Y. Manin establish a link between the Habiro ring, which is a topological algebra constructed to deal with quantum invariants of knots, and geometry over \mathbb{F}_1 , so that the two remarks above are not orthogonal.

* * *

In the present paper we give a definition of G_q -functions and q -difference modules of type G . We test those definitions proving that a q -difference module having an injective solution whose entries are G -functions is of type G : that is to say that “the minimal q -difference module generated by a G -function” is of type G (cf. Theorem 4.2 below). We also prove that q -difference module of type G are regular singular (cf. Theorem 4.1). These two results are the base for the development of a global theory of q -Gevrey series.

In part two, we define global q -Gevrey series. Via the study of two q -analogues the formal Fourier transformation, we establish some structure theorems for the minimal q -difference equations killing global q -Gevrey series (cf. Theorems 12.3, 12.4 and 12.6). We conclude with an irrationality theorem for special values of of global q -Gevrey series of negative orders (cf. Theorem 13.6).

This paper won't be submitted for publication since the results below can be obtained in a more direct way. Namely, one can prove that G_q -functions are all rational (cf. [DVH09]). Nevertheless, the construction of the coefficients of the q -difference module from an injective solution in the proof of Theorem 4.2 has an interest in itself, since it may be applied to other difference operators.

Acknowledgements. I would like to thank Y. André, J-P. Bézivin and Y. Manin for the interest they have shown for my work. Of course, I'm the only responsible for the deficiencies of this paper.

Part 1. G_q -FUNCTIONS AND q -DIFFERENCE MODULES OF TYPE G

2. DEFINITION AND FIRST PROPERTIES

Let us consider the field of rational function $k(q)$ with coefficients in a fixed field k . We fix $d \in (0, 1)$ and for any irreducible polynomial $v = v(q) \in k[q]$ we set:

$$|f(q)|_v = d^{\deg_q v(q) \cdot \text{ord}_{v(q)} f(q)}, \quad \forall f(q) \in k[q].$$

The definition of $|\cdot|_v$ extends to $k(q)$ by multiplicativity. To this set of norms one has to add the q^{-1} -adic one, defined on $k[q]$ by:

$$|f(q)|_{q^{-1}} = d^{-\deg_q f(q)};$$

once again this definition extends by multiplicativity to $k(q)$. Then the Product Formula holds:

$$\prod_v \left| \frac{f(q)}{g(q)} \right|_v = d^{\sum_v \deg_q v(q) (\text{ord}_{v(q)} f(q) - \text{ord}_{v(q)} g(q))} = d^{\deg_q f(q) - \deg_q g(q)} = \left| \frac{f(q)}{g(q)} \right|_{q^{-1}}^{-1}.$$

For any finite extension K of $k(q)$, we consider the family \mathcal{P} of ultrametric norms, that extends the norms defined above, up to equivalence. We suppose that the norms in \mathcal{P} are normalized so that the Product Formula still holds. We consider the following partition of \mathcal{P} :

- the set \mathcal{P}_∞ of places of K such that the associated norms extend, up to equivalence, either $|\cdot|_q$ or $|\cdot|_{q^{-1}}$;
- the set \mathcal{P}_f of places of K such that the associated norms extend, up to equivalence, one of the norms $|\cdot|_v$ for an irreducible $v = v(q) \in k[q]$, $v(q) \neq q$.

Moreover we consider the set \mathcal{C} of places $v \in \mathcal{P}_f$ such that v divides a valuation of $k(q)$ having as uniformizer a factor of a cyclotomic polynomial. We will briefly call $v \in \mathcal{C}$ a cyclotomic place.

Definition 2.1. A series $y = \sum_{n \geq 0} y_n x^n \in K[[x]]$ is a G_q -function if:

- (1) It is solution of a q -difference equations with coefficients in $K(x)$, *i.e.* there exists $a_0(x), \dots, a_\nu(x) \in K(x)$ not all zero such that

$$(2.1.1) \quad a_0(x)y(x) + a_1(x)y(qx) + \dots + a_\nu(x)y(q^\nu x) = 0.$$

- (2) The series y has finite size, *i.e.*

$$\sigma(y) := \limsup_{n \rightarrow \infty} \frac{1}{n} \sum_{v \in \mathcal{P}} \log^+ \left(\sup_{s \leq n} |y_s|_v \right) < \infty,$$

where $\log^+ x = \sup(0, \log x)$.

We will refer to the invariant σ as the *size*, using the same terminology as in the classical case of series over a number field.

Remark 2.2. (1) One can show that this definition of G_q -function is equivalent to the one given in the introduction (*cf.* [And89, I, 1.3]).

- (2) Let $\overline{k(q)}$ be the algebraic closure of $k(q)$. A formal power series with coefficients in $\overline{k(q)}$ solution of a q -difference equations with coefficients in $\overline{k(q)}(x)$ is necessarily defined over a finite extension $K/k(q)$.

Proposition 2.3. *The set of G_q -functions is stable with respect to the sum and the Cauchy product¹. Moreover, it is independent of the choice of K , in the sense that we can replace K by any finite extension of K .*

Proof. The proof is the same as in the case of classical G -functions (*cf.* [And89, I, 1.4, Lemma 2]). \square

The field $K(x)$ is naturally a q -difference algebra, *i.e.* is equipped with the operator

$$\sigma_q : \begin{array}{ccc} K(x) & \longrightarrow & K(x) \\ f(x) & \longmapsto & f(qx) \end{array}.$$

The field $K(x)$ is also equipped with the q -derivation

$$d_q(f)(x) = \frac{f(qx) - f(x)}{(q-1)x},$$

satisfying a q -Leibniz formula:

$$d_q(fg)(x) = f(qx)d_q(g)(x) + d_q(f)(x)g(x),$$

for any $f, g \in K(x)$. A q -difference module over $K(x)$ (of rank ν) is a finite dimensional $K(x)$ -vector space M (of dimension ν) equipped with an invertible σ_q -semilinear operator, *i.e.*

$$\Sigma_q(f(x)m) = f(qx)\Sigma_q(m),$$

¹It may be interesting to remark, although we won't need it in the sequel, that the estimate of the size of a product of G -functions proved in [And89, I, 1.4, Lemma 2] holds also in the case of G_q -functions.

for any $f \in K(x)$ and $m \in M$. A morphism of q -difference modules over $K(x)$ is a morphism of $K(x)$ -vector spaces, commuting to the q -difference structure (for more generalities on the topic, cf. [vdPS97], [DV02, Part I] or [DVRSZ03]).

Let $\mathcal{M} = (M, \Sigma_q)$ be a q -difference module over $K(x)$ of rank ν . We fix a basis \underline{e} of M over $K(x)$ and we set:

$$\Sigma_q \underline{e} = \underline{e}A(x),$$

with $A(x) \in GL_\nu(K(x))$. An horizontal vector $\vec{y} \in K(x)^\nu$ with respect to Σ_q is a vector that verifies $\vec{y}(x) = A(x)\vec{y}(qx)$. Therefore we call

$$Y(qx) = A_1(x)Y(x), \text{ with } A_1(x) = A(x)^{-1},$$

the system associated to \mathcal{M} with respect to the basis \underline{e} . Recursively we obtain the families of q -difference systems:

$$Y(q^n x) = A_n(x)Y(x) \text{ and } d_q^n Y(x) = G_n(x)Y(x),$$

with $A_n(x) \in GL_\nu(K(x))$ and $G_n(x) \in M_\nu(K(x))$. Notice that:

$$A_{n+1}(x) = A_n(qx)A_1(x), G_1(x) = \frac{A_1(x) - 1}{(q-1)x} \text{ and } G_{n+1}(x) = G_n(qx)G_1(x) + d_q G_n(x).$$

It is convenient to set $A_0 = G_0 = 1$. Moreover we set $[n]_q = \frac{q^n - 1}{q - 1}$ for any $n \geq 1$, $[n]_q! = [n]_q [n-1]_q \cdots [1]_q$, $[0]_q! = 1$ and $G_{[n]}(x) = \frac{G_n(x)}{[n]_q!}$.

Definition 2.4. A q -difference module over $K(x)$ is said to be of *type G* (or a *G - q -difference module*) if the following *global q -Galočkin condition* is verified:

$$\sigma_{\mathcal{C}}^q(\mathcal{M}) = \limsup_{n \rightarrow \infty} \frac{1}{n} \sum_{v \in \mathcal{C}} \log^+ \left(\sup_{s \leq n} |G_{[s]}|_{v, \text{Gauss}} \right) < \infty,$$

where

$$\left| \frac{\sum a_i x^i}{\sum b_j x^j} \right|_{v, \text{Gauss}} = \frac{\sup |a_i|_v}{\sup |b_j|_v},$$

for all $\frac{\sum a_i x^i}{\sum b_j x^j} \in K(x)$.

Remark 2.5. Notice that the definition of G - q -difference module involves only the cyclotomic places.

Proposition 2.6. *The definition of G_q -module is independent on the choice of the basis and is stable by extension of scalars to $K'(x)$, for a finite extension K' of K .*

Proof. Once again the proof is similar to the classical theory of G -functions and differential modules of type G . \square

3. ROLE OF THE “NONCYCLOTOMIC” PLACES

Proposition 3.1. *In the notation introduced above, for any q -difference module $\mathcal{M} = (M, \Sigma_q)$ over $K(x)$ we have:*

$$\sigma_{\mathcal{P}_f \setminus \mathcal{C}}^{(q)}(\mathcal{M}) := \limsup_{n \rightarrow \infty} \frac{1}{n} \sum_{v \in \mathcal{P}_f \setminus \mathcal{C}} \log^+ \left(\sup_{s \leq n} |G_{[s]}|_v \right) < \infty.$$

Proof. We recall that the sequence of matrices $G_{[n]}$ satisfies the recurrence relation:

$$G_{[n+1]}(x) = \frac{G_{[n]}(qx)G_1(x) + d_q G_{[n]}(x)}{[n+1]_q}.$$

Since $|[n+1]_q|_v = 1$ for any $v \in \mathcal{P}_f \setminus \mathcal{C}$, we conclude recursively that

$$|G_{[n]}|_{v, \text{Gauss}} \leq 1,$$

for almost all places $v \in \mathcal{P}_f \setminus \mathcal{C}$. For the remaining finitely many places $v \in \mathcal{P}_f$, one can deduce from the recursive relation there exists a constant $C > 0$ such that $|G_{[n]}|_{v, \text{Gauss}} \leq C^n$. \square

We immediately obtain the equivalence of our definition of q -difference module of type G with the naive analogue of the classical definition of G -module (cf. [And89, IV, 4.1]):

Corollary 3.2. *A q -difference module is of type G if and only if*

$$\sigma_{\mathcal{P}_f}^{(q)}(\mathcal{M}) := \limsup_{n \rightarrow \infty} \frac{1}{n} \sum_{v \in \mathcal{P}_f} \log^+ \left(\sup_{s \leq n} |G_{[s]}|_v \right) < \infty.$$

We expect the same kind of result to be true for G_q -functions, namely:

Conjecture 3.3. *Suppose that $y = \sum_{n \geq 0} y_n x^n \in K[[x]]$ is solution of a q -difference equations with coefficients in K (cf. (2.1.1)). Then:*

$$\sigma_{\mathcal{P}_f \setminus \mathcal{C}}(y) = \limsup_{n \rightarrow \infty} \frac{1}{n} \sum_{v \in \mathcal{P}_f \setminus \mathcal{C}} \log^+ \left(\sup_{s \leq n} |y_s|_v \right) < \infty.$$

The last statement would immediately imply that one can define G_q -functions in the following way:

Conjectural definition 3.4. *We say that the series $y = \sum_{n \geq 0} y_n x^n \in K[[x]]$ is a G_q -function if y is solution of a q -difference equations with coefficients in K and moreover*

$$\sigma_{\mathcal{C} \cup \mathcal{P}_\infty}(y) = \limsup_{n \rightarrow \infty} \frac{1}{n} \sum_{v \in \mathcal{C} \cup \mathcal{P}_\infty} \log^+ \left(\sup_{s \leq n} |y_s|_v \right) < \infty.$$

Remark 3.5. The fact that for almost all $v \in \mathcal{P}_f \setminus \mathcal{C}$ we have $|G_{[n]}(x)|_{v, Gauss} \leq 1$ for any $n \geq 1$ implies that for almost all $v \in \mathcal{P}_f \setminus \mathcal{C}$ a “solution” $y(x) = \sum_{n \geq 0} y_n x^n \in K[[x]]$ of a q -difference system with coefficient in $K(x)$ is bounded, in the sense that $\sup_n |y_n|_v < \infty$. Unfortunately, one would need some uniformity with respect to v and n to conclude something about $\sigma_{\mathcal{P}_f \setminus \mathcal{C}}(y)$.

Notice that if 0 is an ordinary point, the conjecture is trivial since

$$\sum_{n \geq 0} G_{[n]}(0)x^n$$

is a fundamental solution of the linear system $Y(qx) = A_1(x)Y(x)$. A q -analogue of the techniques developed in [And89, V] (cf. also [DGS94, Chap. VII]) would probably allow to establish the conjecture under the assumption that 0 is a regular point. This is not satisfactory because one of the purposes of the whole theory is the possibility of reading the regularity of a q -difference equation on one single solution (cf. Theorem 4.1 below), so one does not want to assume regularity *a priori*.

4. MAIN RESULTS

A q -difference module (M, Σ_q) is said to be regular singular at 0 if there exists a basis \underline{e} such that the Taylor expansion of the matrix $A_1(x)$ is in $GL_\nu(K[[x]])$. It is said to be regular singular *tout court* if it is regular singular both at 0 and at ∞ . We have the following analogue of a well-known differential result (cf. [Kat70, §13]; cf. also [DV02, §6.2.2] for q -difference modules over a number field):

Theorem 4.1. *A G - q -difference module \mathcal{M} over $K(x)$ is regular singular.*

Let $\vec{y}(x) = {}^t(y_0(x), \dots, y_{\nu-1}(x)) \in K[[x]]^\nu$ be a solution of the q -difference system associated to $\mathcal{M} = (M, \Sigma_q)$ with respect to the basis \underline{e} :

$$\vec{y}(qx) = A_1(x)\vec{y}(x).$$

We say that $\vec{y}(x)$ is an injective solution if $y_1(x), \dots, y_\nu(x)$ are linearly independent over $K(x)$.

We have the following q -analogue of the André-Chudnovsky Theorem [And89, VI]:

Theorem 4.2. *Let $\vec{y}(x) = {}^t(y_0(x), \dots, y_{\nu-1}(x)) \in K[[x]]^\nu$ be an injective solution of the q -difference system associated to $\mathcal{M} = (M, \Sigma_q)$ with respect to the basis \underline{e} .*

If $y_0(x), \dots, y_{\nu-1}(x)$ are G_q -functions, then \mathcal{M} is a G - q -difference module.

We can immediately state a corollary:

Corollary 4.3. *Let $\vec{y}(x) = {}^t(y_0(x), \dots, y_{\nu-1}(x)) \in K[[x]]^\nu$ be an injective solution of the q -difference system associated to $\mathcal{M} = (M, \Sigma_q)$ with respect to the basis \underline{e} .*

If $y_1(x), \dots, y_\nu(x)$ are G_q -functions, then \mathcal{M} is regular singular.

Thanks to the cyclic vector lemma we can state the following (cf. [Sau00, Annexe B]):

Corollary 4.4. *Let $y(x)$ a G_q -function and let*

$$(4.4.1) \quad a_0(x)y(x) + a_1(x)y(qx) + \cdots + a_\nu(x)y(q^\nu x) = 0.$$

a q -difference equation of minimal order ν , having $y(x)$ as a solution.

Then (4.4.1) is fuchsian, i.e. we have $\text{ord}_x a_i \geq \text{ord}_x a_0 = \text{ord}_x a_\nu$ and $\deg_x a_i \leq \deg_x a_0 = \deg_x a_\nu$, for any $i = 0, \dots, \nu$.

The proofs of Theorem 4.1 and Theorem 4.2 are the object of §6 and §7, respectively.

5. NILPOTENT REDUCTION AT CYCLOTOMIC PLACES

We denote by \mathcal{O}_K the ring of integers of K , k_v the residue field of K with respect to the place v , ϖ_v the uniformizer of v and q_v the image of q in k_v , which is defined for all places $v \in \mathcal{P}$. Notice that q_v is a root of unity for all $v \in \mathcal{C}$. Let $\kappa_v \in \mathbb{N}$ be the order of q_v , for $v \in \mathcal{C}$.

Let $\mathcal{M} = (M, \Sigma_q)$ be a q -difference module over $K(x)$. We can always choose a lattice \widetilde{M} of M over an algebra of the form

$$(5.0.2) \quad \mathcal{A} = \mathcal{O}_K \left[x, \frac{1}{P(x)}, \frac{1}{P(qx)}, \frac{1}{P(q^2x)}, \dots \right],$$

for some $P(x) \in \mathcal{O}_K[x]$, such that for almost all $v \in \mathcal{C}$ we can consider the q_v -difference module $M_v = \widetilde{M} \otimes_{\mathcal{A}} k_v(x)$, with the structure induced by Σ_q . In this way, for almost all $v \in \mathcal{C}$, we obtain a q_v -difference module $\mathcal{M}_v = (M_v, \Sigma_{q_v})$ over $k_v(x)$, having the particularity that q_v is a root of unity. This means that $\sigma_{q_v}^{\kappa_v} = 1$ and that $\Sigma_{q_v}^{\kappa_v}$ is a $k_v(x)$ -linear operator.

The results in [DV02, §2] apply to this situation: we recall some of them. Since we have:

$$\sigma_{q_v}^{\kappa_v} = 1 + (q-1)^{\kappa_v} x^{\kappa_v} d_{q_v}^{\kappa_v}$$

and

$$\Sigma_{q_v}^{\kappa_v} = 1 + (q-1)^{\kappa_v} x^{\kappa_v} \Delta_{q_v}^{\kappa_v},$$

where $\Delta_{q_v} = \frac{\Sigma_{q_v} - 1}{(q_v - 1)x}$, the following facts are equivalent:

- (1) $\Sigma_{q_v}^{\kappa_v}$ is unipotent;
- (2) $\Delta_{q_v}^{\kappa_v}$ is a linear nilpotent operator;
- (3) the reduction of $A_{\kappa_v}(x) - 1$ modulo ϖ_v is a nilpotent matrix;
- (4) the reduction of $G_{\kappa_v}(x)$ modulo ϖ_v is nilpotent;
- (5) there exists $n \in \mathbb{N}$ such that $|G_{n\kappa_v}(x)|_{v, Gauss} \leq |\varpi_v|_v$.

Definition 5.1. If the conditions above are satisfied we say that \mathcal{M} has *nilpotent reduction (of order n) modulo $v \in \mathcal{C}$* .

Remark 5.2. If the characteristic of k is 0 and if $|G_{\kappa_v}(x)|_{v, Gauss} \leq |[\kappa_v]_q|_v$, the module \mathcal{M}_v has a structure of iterated q -difference module, in the sense of [Har07, §3]. In particular, if v is a non ramified place of $K/k(q)$, then $|[\kappa_v]_q|_v = |\varpi_v|_v$.

The following result is a q -analogue of a well-known differential p -adic estimate (cf. for instance [DGS94, page 96]). It has already been proved in the case of q -difference equations over a p -adic field in [DV02, §5.1]. We are only sketching the argument: only the estimate of the q -factorials are slightly different from the case of mixed characteristic.

Proposition 5.3. *If $\mathcal{M} = (M, \Sigma_q)$ has nilpotent reduction (of order n) modulo $v \in \mathcal{C}$ then*

$$\limsup_{m \rightarrow \infty} \sup \left(1, |G_{[m]}|_{v, Gauss} \right)^{1/m} \leq |\varpi_v|_v^{1/n\kappa_n} |[\kappa_n]_q|_v^{-1/\kappa_n}.$$

Proof. The Leibniz formula (cf. [DV02, Lemma 5.1.2] for a detailed proof in a quite similar situation) implies that for any $s \in \mathbb{N}$ we have:

$$|G_{sn\kappa_v}(x)|_{v, Gauss} \leq |\varpi_v|_v^s.$$

Since $|G_1(x)|_{v, Gauss} \leq 1$, for any $m \in \mathbb{N}$ we have:

$$|G_{[m]}(x)|_{v, Gauss} \leq \frac{|G_{[\frac{m}{n\kappa_v}]n\kappa_v}(x)|_{v, Gauss}}{|[m]_q!_v|} \leq \frac{|\varpi_v|_v^{[\frac{m}{n\kappa_v}]}}{|[m]_q!_v|},$$

where $[\frac{m}{n\kappa_v}] = \max\{a \in \mathbb{Z} : a \leq \frac{m}{n\kappa_v}\}$. The following lemma on the estimate of $[m]_q!$ allows to conclude. \square

Lemma 5.4. *For $v \in \mathcal{C}$ we have $|[m]_q|_v = |[\kappa]_q|_v$ if $\kappa_v|m$ and $|[m]_q|_v = 1$ otherwise. Therefore:*

$$\lim_{m \rightarrow \infty} |[m]_q!_v^{1/m} = |[\kappa_v]_q!_v^{1/\kappa_v}.$$

Proof. Let $m \geq 2$ and $m = s\kappa_v + r$, with $r, s \in \mathbb{Z}$ and $0 \leq r < \kappa_v$. If κ_v does not divide m , i.e. if $r > 0$, we have

$$[m]_q = 1 + q + \dots + q^{m-1} = [\kappa_v]_q + q^{\kappa_v}[\kappa_v]_q + \dots + q^{s\kappa_v}(1 + q + \dots + q^{r-1}).$$

Therefore $|[m]_q|_v = 1$. On the other hand, if $r = 0$:

$$[m]_q = \left(1 + q^{\kappa_v} + \dots + q^{\kappa_v(s-1)}\right) [\kappa_v]_q.$$

Since $q^{\kappa_v} \equiv 1$ modulo ϖ_v , we deduce that $1 + q^{\kappa_v} + \dots + q^{\kappa_v(s-1)} \equiv s$ modulo ϖ_v . Therefore

$$|[m]_q|_v = |s|_v |[\kappa_v]_q|_v = |[\kappa_v]_q|_v.$$

This implies that

$$|[m]_q!_v = |[\kappa_v]_q!_v^{[\frac{m}{\kappa_v}]},$$

which allows to calculate the limit. \square

We obtain the following characterization:

Corollary 5.5. *The q -difference module $\mathcal{M} = (M, \Sigma_q)$ has nilpotent reduction modulo $v \in \mathcal{C}$ if and only if*

$$(5.5.1) \quad \limsup_{m \rightarrow \infty} \sup \left(1, |G_{[m]}|_{v, Gauss}\right)^{1/m} < |[\kappa_v]_q!_v^{-1/\kappa_v}.$$

Proof. One side of the implication is an immediate consequence of the proposition above. On the other hand, the assumption (5.5.1) implies that

$$\limsup_{m \rightarrow \infty} \sup \left(1, |G_m|_{v, Gauss}\right)^{1/m} < 1,$$

which clearly implies that there exists n such that $|G_{n\kappa_v}|_{v, Gauss} \leq |\varpi_v|_v$. \square

We finally obtain the following proposition, that will be useful in the proof of Theorem 4.1:

Proposition 5.6. *Let \mathcal{M} be q -difference module over $K(x)$ of type G . Let \mathcal{C}_0 be the set of $v \in \mathcal{C}$ such that \mathcal{M} does not have nilpotent reduction modulo v . Then*

$$\sum_{v \in \mathcal{C}_0} \frac{1}{\kappa_v} < +\infty.$$

In particular, \mathcal{M} has nilpotent reduction modulo v for infinitely many $v \in \mathcal{C}$.

The proof relies on the following lemma:

Lemma 5.7. *The following limit exists:*

$$\lim_{n \rightarrow \infty} \frac{1}{n} \log^+ \left(\sup_{s \leq n} |G_{[s]}(x)|_{v, Gauss} \right).$$

Proof. The proof is essentially the same as the proof of [DV02, 4.2.7], a part from the estimate of the q -factorials (cf. Lemma 5.4 above). The key point is the following formula:

$$G_{[n+s]}(x) = \sum_{i+j=n} \frac{[n]_q! [s]_q! d_q^j}{[s+n]_q! [j]_q!} (G_{[s]}(q^i x)) G_{[i]}(x), \forall s, n \in \mathbb{N},$$

obtained iterating the Leibniz rule. \square

Proof of Proposition 5.6. The Fatou lemma, together with Lemma 5.7, implies:

$$\sum_{v \in \mathcal{C}} \lim_{n \rightarrow \infty} \frac{1}{n} \log^+ \left(\sup_{s \leq n} |G_{[s]}(x)|_{v, Gauss} \right) \leq \liminf_{n \rightarrow \infty} \frac{1}{n} \sum_{v \in \mathcal{C}} \log^+ \left(\sup_{s \leq n} |G_{[s]}(x)|_{v, Gauss} \right) \leq \sigma_{\mathcal{C}}^{(q)}(\mathcal{M}) < \infty.$$

It follows from Corollary 5.5 that:

$$\sum_{v \in \mathcal{C}_0} \frac{\log^+ |[\kappa_v]_q|_v^{-1}}{\kappa_v} < \infty$$

and hence that

$$\sum_{v \in \mathcal{C}_0} \frac{\log d^{-1}}{\kappa_v} < \infty,$$

since only a finite number of places of $K/k(q)$ are ramified. \square

6. PROOF OF THEOREM 4.1

It is enough to prove that 0 is a regular singular point for \mathcal{M} , the proof at ∞ being completely analogous.

Let $r \in \mathbb{N}$ be a divisor of $\nu!$ and let L be a finite extension of K containing an element \tilde{q} such that $\tilde{q}^r = q$. We consider the field extension $K(x) \hookrightarrow L(t)$, $x \mapsto t^r$. The field $L(t)$ has a natural structure of \tilde{q} -difference algebra extending the q -difference structure of $K(x)$. Remark that:

Lemma 6.1. *The q -difference module \mathcal{M} is regular singular at $x = 0$ if and only if the \tilde{q} -difference module $\mathcal{M}_{L(t)} := (M \otimes_{K(t)} L(t), \Sigma_{\tilde{q}} := \Sigma_q \otimes \sigma_{\tilde{q}})$ is regular singular at $t = 0$.*

Proof. It is enough to notice that if \underline{e} is a cyclic basis for \mathcal{M} , then $\underline{e} \otimes 1$ is a cyclic basis for $\mathcal{M}_{L(t)}$ and $\Sigma_{\tilde{q}}(\underline{e} \otimes 1) = \Sigma_q(\underline{e}) \otimes 1$. \square

The next lemma can be deduced from the formal classification of q -difference modules (cf. [Pra83, Cor. 9 and §9, 3], [Sau04, Thm. 3.1.7]):

Lemma 6.2. *There exist an extension $L(t)/K(x)$ as above, a basis \underline{f} of the \tilde{q} -difference module $\mathcal{M}_{L(t)}$, such that $\Sigma_{\tilde{q}} \underline{f} = \underline{f} B(t)$, with $B(t) \in Gl_{\mu}(L(t))$, and an integer ℓ such that*

$$(6.2.1) \quad \begin{cases} B(t) = \frac{B_{\ell}}{t^{\ell}} + \frac{B_{\ell-1}}{t^{\ell-1}} + \dots, \text{ as an element of } Gl_{\mu}(L(t)); \\ B_{\ell} \text{ is a constant non nilpotent matrix.} \end{cases}$$

Proof of Theorem 4.1. Let $\mathcal{B} \subset L(t)$ be a \tilde{q} -difference algebra over the ring of integers \mathcal{O}_L of L , of the same form as (5.0.2), containing the entries of $B(t)$. Then there exists a \mathcal{B} -lattice \mathcal{N} of $\mathcal{M}_{L(t)}$ inheriting the \tilde{q} -difference module structure from $\mathcal{M}_{L(t)}$ and having the following properties:

1. \mathcal{N} has nilpotent reduction modulo infinitely many cyclotomic places of L ;
2. there exists a basis \underline{f} of \mathcal{N} over \mathcal{B} such that $\Sigma_{\tilde{q}} \underline{f} = \underline{f} B(t)$ and $B(t)$ verifies (6.2.1).

Iterating the operator $\Sigma_{\tilde{q}}$ we obtain:

$$\Sigma_{\tilde{q}}^m(\underline{f}) = \underline{f} B(t) B(\tilde{q}t) \cdots B(\tilde{q}^{m-1}t) = \underline{f} \left(\frac{B_{\ell}^m}{\tilde{q}^{\frac{\ell m(\ell m-1)}{2}} x^{m\ell}} + h.o.t. \right)$$

We know that for infinitely many cyclotomic places w of L , the matrix $B(t)$ verifies

$$(6.2.2) \quad (B(t) B(\tilde{q}t) \cdots B(\tilde{q}^{\kappa_w-1}t) - 1)^{n(w)} \equiv 0 \pmod{\varpi_w},$$

where ϖ_w is a uniformizer of the place w , κ_w is the order \tilde{q} modulo ϖ_w and $n(w)$ is a convenient positive integer. Suppose that $\ell \neq 0$. Then $B_\ell^{\kappa_w} \equiv 0$ modulo ϖ_w , for infinitely many w , and hence B_ℓ is a nilpotent matrix, in contradiction with lemma 6.2. So necessarily $\ell = 0$.

Finally we have $\Sigma_{\tilde{q}}(\underline{f}) = \underline{f}(B_0 + h.o.t)$. It follows from (6.2.1) that B_0 is actually invertible, which implies that $\mathcal{M}_{L(t)}$ is regular singular at 0. Lemma 6.1 allows to conclude. \square

7. PROOF OF THEOREM 4.2

7.1. Idea of the proof. The hypothesis states that there exists a vector $\vec{y} = {}^t(y_0, \dots, y_{\nu-1}) \in K[[x]]^\nu$, which is solution of the q -difference system:

$$(7.0.3) \quad \vec{y}(qx) = A_1(x)\vec{y}(x),$$

and therefore of the systems $d_q^n \vec{y} = G_n(x)\vec{y}$ and $\sigma_q^n \vec{y} = A_n(x)\vec{y}$ for any $n \geq 1$, having the property that $y_0, \dots, y_{\nu-1}$ are linearly independent over $K(x)$. We recall that

$$G_{n+1}(x) = G_n(qx)G_1(x) + d_q G_n(x)$$

and that

$$A_{n+1}(x) = A_n(qx)A_1(x).$$

Let us consider the operator:

$$\Lambda = A_1(x)^{-1} \circ (d_q - G_1(x)).$$

We know that there exists an extension \mathcal{U} of $K(x)$ (for instance the universal Picard-Vessiot ring constructed in [vdPS97, §12.1]) such that we can find an invertible matrix \mathcal{Y} with coefficient in \mathcal{U} solution of our system $d_q \mathcal{Y} = G_1 \mathcal{Y}$. An explicit calculation shows that:

$$d_q \circ \mathcal{Y}^{-1} = (\sigma_q \mathcal{Y})^{-1} (d_q - G_1(x)) = \mathcal{Y}^{-1} A_1(x)^{-1} (d_q - G_1(x))$$

and therefore that:

$$(7.0.4) \quad \Lambda^n = \mathcal{Y} \circ d_q^n \circ \mathcal{Y}^{-1}, \text{ for all integers } n \geq 0.$$

We set $\binom{n}{i}_q = \frac{[n]_q!}{[i]_q! [n-i]_q!}$, for any pair of integers $n \geq i \geq 0$. The twisted q -binomial formula shows that $\left| \binom{n}{i}_q \right|_v \leq 1$ for any $v \in \mathcal{P}_f$.

The proof of Theorem 4.2 is based on the following q -analogue of [And89, VI, §1]:

Proposition 7.1. *There exist $\alpha_0^{(n)}, \dots, \alpha_n^{(n)} \in K$ such that for all $\vec{P} \in K[x]^\nu$ and all $n \geq 0$ we have:*

$$(7.1.1) \quad G_{[n]} \vec{P} = \sum_{i=0}^n \frac{(-1)^i}{[n]_q!} \binom{n}{i}_q \alpha_i^{(n)} d_q^{n-i} \circ A_i(x) \Lambda^i(\vec{P}),$$

with $|\alpha_i(n)|_v \leq 1$, for any $v \in \mathcal{P}_f$ and $n \geq i \geq 0$.

Proof. The iterated twisted Leibniz Formula (cf. for instance [DV02, 1.1.8.1])

$$d_q^n(fg) = \sum_{j=0}^n \binom{n}{j}_q \sigma_q^j(d_q^{n-j}(f)) d_q^j(g), \forall f, g \in \mathcal{U}$$

implies

$$\begin{aligned}
& \sum_{i=0}^n \frac{(-1)^i}{[n]_q!} \binom{n}{i}_q \alpha_i^{(n)} d_q^{n-i} \circ A_i(x) \circ \Lambda^i(\vec{P}) \\
&= \sum_{i=0}^n \frac{(-1)^i}{[n]_q!} \binom{n}{i}_q \alpha_i^{(n)} d_q^{n-i} \circ \sigma_q^i(\mathcal{Y}) \circ d_q^i \circ \mathcal{Y}^{-1}(\vec{P}) \\
&= \sum_{i=0}^n \frac{(-1)^i}{[n]_q!} \binom{n}{i}_q \alpha_i^{(n)} \sum_{j=0}^{n-i} \binom{n-i}{j}_q q^{ij} \sigma_q^{n-j}(d_q^j(\mathcal{Y})) \circ d_q^{n-j} \circ \mathcal{Y}^{-1}(\vec{P}) \\
&= \sum_{j=0}^n \left(\sum_{i=0}^{n-j} \frac{(-1)^i}{[n]_q!} \binom{n}{i}_q \binom{n-i}{j}_q q^{ij} \alpha_i^{(n)} \right) \sigma_q^{n-j}(d_q^j(\mathcal{Y})) \circ d_q^{n-j} \circ \mathcal{Y}^{-1}(\vec{P}) \\
&= \sum_{j=0}^n \frac{1}{[n-j]_q! [j]_q!} \left(\sum_{i=0}^{n-j} (-1)^i \binom{n-j}{i}_q q^{ij} \alpha_i^{(n)} \right) \sigma_q^{n-j}(d_q^j(\mathcal{Y})) \circ d_q^{n-j} \circ \mathcal{Y}^{-1}(\vec{P}).
\end{aligned}$$

We have to solve the linear system:

$$\sum_{i=0}^{n-j} (-1)^i \binom{n-j}{i}_q q^{ij} \alpha_i^{(n)} = \begin{cases} 1 & \text{if } n=j, \\ 0 & \text{otherwise.} \end{cases}$$

For $n=j$ we obtain $\alpha_0^{(n)} = 1$. We suppose that we have already determined $\alpha_0^{(n)}, \dots, \alpha_{k-1}^{(n)}$. For $n-j=k$ we get:

$$\sum_{i=0}^{k-1} (-1)^i \binom{k}{i}_q q^{i(n-k)} \alpha_i^{(n)} = (-1)^{k+1} \alpha_k^{(n)} q^{k(n-k)}.$$

This proves also that $|\alpha_k^{(n)}|_v \leq 1$ for ant $v \in \mathcal{P}_f$. \square

For all $\vec{P} = {}^t(P_0, \dots, P_\nu - 1) \in K[x]^\nu$ and $n \geq 0$ we set:

$$\vec{R}_n = \frac{\Lambda^n}{[n]_q!}(\vec{P})$$

and:

$$R^{<n>} = \left(\binom{n}{n}_q \vec{R}_n \quad \binom{n+1}{n}_q \vec{R}_{n+1} \dots \quad \binom{n+\nu-1}{n}_q \vec{R}_{n+\nu-1} \right).$$

Therefore we obtain the identity:

Corollary 7.2.

$$G_{[n]} R^{<0>} = \sum_{i=0}^n (-1)^i \alpha_i^{(n)} \frac{d_q^{n-i}}{[n-i]_q!} \circ A_i(x) R^{<i>}$$

Remark 7.3. In order to obtain an estimate of $\sigma_{\mathcal{P}_f}^{(q)}(\mathcal{M})$ we want to estimate the matrices $G_{[n]}(x)$. The main point of the proof is the construction of a vector \vec{P} , linked to the solution vector \vec{y} of (7.0.3), such that $R^{<0>}$ is an invertible matrix.

The proof is divided in step: in step 1 we construct \vec{P} ; in step 2 we prove that $R^{<0>}$ is invertible; step 3 and 4 are devoted to the estimate of $G_{[n]}(x)$ and of $\sigma_{\mathcal{P}_f}^{(q)}(\mathcal{M})$.

7.2. Step 1. Hermite-Padé approximations of \vec{y} . We denote by \deg the usual degree in x and by ord the order at $x=0$. We extend their definitions to vectors as follows:

$$\deg \vec{P}(x) = \sup_{i=0, \dots, \nu-1} \deg P_i(x), \text{ for all } \vec{P} = {}^t(P_0(x), \dots, P_{\nu-1}(x)) \in K[x]^\nu.$$

$$\text{ord} \vec{P}(x) = \inf_{i=0, \dots, \nu-1} \text{ord} P_i(x), \text{ for all } \vec{P} = {}^t(P_0(x), \dots, P_{\nu-1}(x)) \in K((x))^\nu.$$

Moreover we set:

$$\left\{ \begin{array}{l} \left(\sum_{n \geq 0} \vec{a}_n x^n \right)_{\leq N} = \sum_{n \leq N} \vec{a}_n x^n, \\ \left(\sum_{n \geq 0} \vec{a}_n x^n \right)_{> N} = \sum_{n > N} \vec{a}_n x^n, \end{array} \right. \quad \text{for all } \sum_{n \geq 0} \vec{a}_n x^n \in K[[x]]^\nu.$$

Finally, for $g(x) = \sum_{n \geq 0} g_n x^n \in K[x]$ and for $\vec{y} = \sum_{n \geq 0} \vec{y}_n x^n \in K[[x]]^\nu$ we set:

$$h(g, v) = \sup_n \log^+ |g_n|_v, \quad \forall v \in \mathcal{P},$$

$$h(g) = \sum_{v \in \mathcal{P}} h(g, v)$$

and

$$\tilde{h}(n, v) = \sup_{s \leq n} \log^+ |\vec{y}_s|_v, \quad \forall v \in \mathcal{P},$$

where $|\vec{y}_s|_v$ is the maximum of the v -adic absolute value of the entries of \vec{y}_s .

The following lemma is proved in [And89, VI, §3] or [DGS94, Chap. VIII, §3] in the case of a number field. The proof in the present case is exactly the same, apart from the fact that there are no archimedean places in \mathcal{P} :

Proposition 7.4. *Let $\tau \in (0, 1)$ be a constant and $\vec{y} = \sum_{n \geq 0} \vec{y}_n x^n \in K[[x]]^\nu$. For all integers $N > 0$ there exists $\vec{g}(x) \in K[x]^\nu$ having the following properties:*

$$(7.4.1) \quad \deg g(x) \leq N;$$

$$(7.4.2) \quad \text{ord}(g\vec{y})_{\leq N} \geq 1 + N + \left\lfloor N \frac{1-\tau}{\nu} \right\rfloor;$$

$$(7.4.3) \quad h(g) \leq \text{const} + \frac{1-\tau}{\tau} \sum_{v \in \mathcal{P}} \tilde{h} \left(N + \left\lfloor N \frac{1-\tau}{\nu} \right\rfloor, v \right).$$

From now on we will assume that $\vec{P}(x) = (g\vec{y})_{\leq N}$.

Proposition 7.5. *Let $Q_1(x) \in \mathcal{V}_K[x]$ be a polynomial such that $Q_1(x)A_1^{-1}(x) \in M_{\nu \times \nu}(K[x])$. We set:*

$$Q_0 = 1 \text{ and } Q_n(x) = Q_1(x)Q_{n-1}(qx), \text{ for all } n \geq 1,$$

and

$$t = \sup(\deg(Q_1(x)A_1^{-1}(x)), \deg Q_1(x)).$$

If $n \leq \frac{N}{t} \frac{1-\tau}{\nu}$, then

$$\left(x^n Q_n(x) \frac{d_q^n g}{[n]_q!}(x) \vec{y}(x) \right)_{\leq N+nt} = x^n Q_n(x) \vec{R}_n.$$

The proposition above is a consequence of the following lemmas:

Lemma 7.6. *For each $n \geq 0$ we have:*

$$(7.6.1) \quad x^n Q_n(x) \vec{R}_n(x) \in K[x]^\nu;$$

$$(7.6.2) \quad \deg x^n Q_n(x) \vec{R}_n(x) \leq N + nt.$$

Proof. Clearly $\vec{R}_0 = (g\vec{y})_{\leq N} \in K[x]^\nu$. We recall that there exist $c_{i,n} \in K$ such that (cf. [DV02, 1.1.10]):

$$d_q^n = \frac{(-1)^n}{(q-1)^n x^n} (\sigma_q - 1)(\sigma_q - q) \cdots (\sigma_q - q^{n-1}) = \frac{(-1)^n}{(q-1)^n x^n} \sum_{i=1}^n c_{i,n} \sigma_q^i,$$

for each $n \geq 1$. Therefore we obtain:

$$\begin{aligned} x^n Q_n(x) \vec{R}_n &= x^n Q_n(x) \mathcal{Y} \frac{d_q^n}{[n]_q!} \left(\mathcal{Y}^{-1} \vec{P} \right) \\ &= \frac{Q_n(x) \mathcal{Y}}{[n]_q! (q-1)^n} \sum_{i=0}^n c_{i,n} \sigma_q^i \left(\mathcal{Y}^{-1} \vec{P} \right) \\ &= \frac{1}{[n]_q! (q-1)^n} \sum_{i=0}^n c_{i,n} Q_n(x) A_i^{-1}(x) \sigma_q^i(\vec{P}). \end{aligned}$$

Since $A_i(x) = A_1(q^{i-1}x) \cdots A_1(x)$, we conclude that $x^n Q_n(x) \vec{R}_n \in K[x]^\nu$ and:

$$\begin{aligned} \deg x^n Q_n(x) \vec{R}_n &\leq \sup_{i=0, \dots, n} \deg \left(Q_n(x) A_i^{-1}(x) \sigma_q^i(\vec{P}) \right) \\ &\leq \sup_{i=0, \dots, n} \left(\deg(Q_i(x) A_i^{-1}(x)) + \deg Q_{n-i}(q^i x) + \deg \sigma_q^i(\vec{P}) \right) \\ &\leq N + nt. \end{aligned}$$

□

Lemma 7.7.

$$\text{ord} \left(x^n Q_n(x) \frac{d_q^n(g)}{[n]_q!} (x) \vec{y}(x) - x^n Q_n(x) \vec{R}_n \right) \geq 1 + N + \left\lfloor N \frac{1-\tau}{\nu} \right\rfloor.$$

Proof. We have:

$$\begin{aligned} &x^n Q_n(x) \frac{d_q^n(g)}{[n]_q!} (x) \vec{y}(x) - x^n Q_n(x) \vec{R}_n \\ &= \frac{1}{[n]_q! (q-1)^n} \sum_{l=0}^n c_{l,n} Q_n(x) \left(\sigma_q^l(g(x)) \vec{y}(x) - \mathcal{Y} \sigma_q^l \left(\mathcal{Y}^{-1} \vec{P} \right) \right) \\ &= \frac{1}{[n]_q! (q-1)^n} \sum_{l=0}^n c_{l,n} Q_n(x) \left(\sigma_q^l(g(x)) \vec{y}(x) - A_l^{-1}(x) \sigma_q^l(\vec{P}) \right). \end{aligned}$$

Let $\vec{H}_l = Q_l(x) \sigma_q^l(g(x)) \vec{y}(x) - Q_l(x) A_l^{-1}(x) \sigma_q^l(\vec{P})$. Since:

$$A_l^{-1}(x) Q_l(x) \sigma_q \left(\vec{H}_l \right) = \vec{H}_{l+1},$$

by induction on l we obtain:

$$\text{ord} \vec{H}_l \geq \text{ord} \vec{H}_{l-1} \geq \text{ord} \left(g(x) \vec{y}(x) - \vec{P}(x) \right) \geq 1 + N + \left\lfloor N \frac{1-\tau}{\nu} \right\rfloor.$$

□

7.3. Step 2. The matrix $R^{<0>}$.

Theorem 7.8. Let $\vec{y}(x) = {}^t(y_0(x), \dots, y_{\nu-1}(x)) \in K[[x]]^\nu$ a solution vector of $\Lambda Y = 0$, such that $y_0(x), \dots, y_{\nu-1}(x)$ are linearly independent over $K(x)$. Then there exists a constant $C(\Lambda)$, depending only on Λ , such that if

$$\vec{P} = {}^t(P_0, \dots, P_{\nu-1}) \in K[x]^\nu \setminus \{0\}$$

has the following property:

$$(7.8.1) \quad \text{ord} \det \begin{pmatrix} P_i & P_j \\ y_i & y_j \end{pmatrix} \geq \deg \vec{P}(x) + C(\Lambda), \forall i, j = 0, \dots, \nu-1,$$

then the matrix $R^{<0>}$ is invertible.

Remark 7.9. We remark that if we choose g as in Propositions 7.4 and 7.5 and $\vec{P} = (g\vec{y})_{\leq N}$, for $N \gg 0$ we have:

$$N \frac{1-\tau}{\nu} \geq C(\Lambda).$$

Therefore the condition (7.8.1) is satisfied since:

$$\text{ord det} \begin{pmatrix} P_i & P_j \\ y_i & y_j \end{pmatrix} = \text{ord det} \begin{pmatrix} (gy_i)_{>N} & (gy_j)_{>N} \\ y_i & y_j \end{pmatrix} \geq 1 + N + N \frac{1-\tau}{\nu}.$$

We recall the Shidlovsky's Lemma that we will need on the proof of Theorem 7.8.

Definition 7.10. We define total degree of $\frac{f(x)}{g(x)} \in K(x)$ as:

$$\text{deg.tot} \frac{f(x)}{g(x)} = \text{deg } f(x) + \text{deg } g(x).$$

Lemma 7.11 (Shidlovsky's Lemma; cf. for instance [DGS94, Chap. VIII, 2.2]). *Let $\mathcal{G}/K(x)$ be a field extension and let $V \subset \mathcal{G}$ a K -vector space of finite dimension. Then the total degree of the elements of $K(x)$ that can be written as quotient of two element of V is bounded.*

Proof of the Theorem 7.8. Let \mathcal{Y} be an invertible matrix with coefficients in an extension \mathcal{U} of $K(x)$ such that $\Lambda\mathcal{Y} = 0$ and let C be the field of constant of \mathcal{U} with respect to d_q . The matrix

$$R^{<0>} = \mathcal{Y} \left(\mathcal{Y}^{-1} \vec{P}, d_q(\mathcal{Y}^{-1} \vec{P}), \dots, \frac{d_q^{\nu-1}}{[\nu-1]_q!}(\mathcal{Y}^{-1} \vec{P}) \right)$$

is invertible if and only if

$$\text{rank}(\mathcal{Y}^{-1} R^{<0>}) = \text{rank}(\mathcal{Y}^{-1} \vec{P}, \sigma_q(\mathcal{Y}^{-1} \vec{P}), \dots, \sigma_q^{\nu-1}(\mathcal{Y}^{-1} \vec{P}))$$

is maximal. Let us suppose that

$$\text{rank}(\mathcal{Y}^{-1} R^{<0>}) = r < \nu.$$

Then the q -analogue of the wronskian lemma (cf. for instance [DV02, §1.2]) implies that there exists an invertible matrix M with coefficients in C such that the first column of $M\mathcal{Y}^{-1} R^{<0>}$ is equal to:

$$M\mathcal{Y}^{-1} \vec{P} = {}^t(\tilde{w}_0, \tilde{w}_1, \dots, \tilde{w}_{r-1}, 0, \dots, 0).$$

The matrix $\mathcal{Y}M^{-1}$ still verifies the q -difference equation $\Lambda\mathcal{Y} = 0$, so we will write \mathcal{Y} instead of $\mathcal{Y}M^{-1}$, to simplify notation. We set:

$$\begin{aligned} \vec{S}_n &= \mathcal{Y} \circ \sigma_q^n \circ \mathcal{Y}^{-1} \vec{P}, \quad \forall n \geq 0, \\ S^{<0>} &= (\vec{S}_0, \dots, \vec{S}_{\nu-1}) = \begin{pmatrix} S_{IJ} & S_{IJ'} \\ S_{I'J} & S_{I'J'} \end{pmatrix} \end{aligned}$$

and

$$\mathcal{Y}^{-1} = \begin{pmatrix} \mathcal{Y}_{JL} & \mathcal{Y}_{JL'} \\ \mathcal{Y}_{J'L} & \mathcal{Y}_{J'L'} \end{pmatrix},$$

where $I = J = L = \{0, 1, \dots, r-1\}$ and $I' = J' = L' = \{r, \dots, \nu-1\}$. We have:

$$\begin{pmatrix} \mathcal{Y}_{JL} & \mathcal{Y}_{JL'} \\ \mathcal{Y}_{J'L} & \mathcal{Y}_{J'L'} \end{pmatrix} \begin{pmatrix} S_{IJ} & S_{IJ'} \\ S_{I'J} & S_{I'J'} \end{pmatrix} = \left(\sigma_q^i(\mathcal{Y}^{-1} \vec{P}) \right)_{i=0, \dots, \nu-1} = \begin{pmatrix} A \\ 0 \end{pmatrix},$$

with $A \in M_{r \times \nu}(K(x))$, and therefore:

$$\mathcal{Y}_{J'L} S_{IJ} + \mathcal{Y}_{J'L'} S_{I'J} = 0.$$

Because of our choice of \mathcal{Y} , the vectors $\vec{S}_0, \dots, \vec{S}_{r-1}$ are linearly independent over $K(x)$, so by permutation of the entries of the vector \vec{P} we can suppose that the matrix S_{IJ} is invertible.

Let $B = S_{I'J} S_{IJ}^{-1}$. Since $S^{<0>} \in M_{\nu \times \nu}(K(x))$ is independent of the choice of the matrix \mathcal{Y} , the same is true for B . The matrix \mathcal{Y} is invertible and

$$(\mathcal{Y}_{J'L} \quad \mathcal{Y}_{J'L'}) = \mathcal{Y}_{J'L'} (-B \quad I_{\nu-r}),$$

therefore the matrix $\mathcal{Y}_{J'L'}$ is also invertible and we have:

$$B = -\mathcal{Y}_{J'L'}^{-1} \mathcal{Y}_{J'L}.$$

The coefficients of the matrix B can be written in the form ξ/η , where ξ and η are elements of the K -vector space of polynomials of degree less or equal to $\nu - r$ with coefficients in K in the entries of the matrix \mathcal{Y} . By Shidlovsky's lemma the total degree of the entries of the matrix B is bounded by a constant depending only on the q -difference system Λ .

Let us consider the matrices:

$$Q_1 = \begin{pmatrix} y_{\nu-1} & 0 & 0 & \cdots & 0 \\ y_1 & -y_0 & 0 & \cdots & 0 \\ y_2 & 0 & -y_0 & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ y_{r-1} & 0 & 0 & \cdots & -y_0 \end{pmatrix} \in M_{r \times r}(K[[x]])$$

and

$$Q_2 = \begin{pmatrix} 0 & \cdots & 0 & -y_0 \\ \vdots & \ddots & \vdots & \vdots \\ 0 & \cdots & 0 & 0 \\ 0 & \cdots & 0 & 0 \end{pmatrix} \in M_{r \times \nu-r}(K[[x]]);$$

we set:

$$T = (Q_1 \quad Q_2) \begin{pmatrix} S_{IJ} \\ S_{I'J} \end{pmatrix} = (Q_1 \quad Q_2) \begin{pmatrix} \mathbb{I}_r \\ B \end{pmatrix} S_{IJ}.$$

Let (b_0, \dots, b_{r-1}) be the last row of B . We have:

$$\begin{aligned} \det(TS_{IJ}^{-1}) &= \det(Q_1 + Q_2B) \\ &= \det \begin{pmatrix} y_{\nu-1} - y_0b_0 & -y_0b_1 & -y_0b_2 & \cdots & -y_0b_{r-1} \\ y_1 & -y_0 & 0 & \cdots & 0 \\ y_2 & 0 & -y_0 & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ y_{r-1} & 0 & 0 & \cdots & -y_0 \end{pmatrix} \\ &= \det \begin{pmatrix} y_{\nu-1} - y_0b_0 - y_1b_1 - \cdots - y_{r-1}b_{r-1} & 0 & 0 & \cdots & 0 \\ y_1 & -y_0 & 0 & \cdots & 0 \\ y_2 & 0 & -y_0 & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ y_{r-1} & 0 & 0 & \cdots & -y_0 \end{pmatrix} \\ &= (-y_0)^{r-1} (y_{\nu-1} - y_0b_0 - y_1b_1 - \cdots - y_{r-1}b_{r-1}). \end{aligned}$$

We notice that $\det(TS_{IJ}^{-1}) \neq 0$, since by hypothesis $y_0, \dots, y_{\nu-1}$ are linearly independent over $K(x)$. Our purpose is to find a lower and an upper bound for $\text{ord det}(TS_{IJ}^{-1})$.

Since the total degree of the entries of B is bounded by a constant depending only on Λ , there exists a constant C_1 , depending on Λ and not on \vec{P} , such that:

$$\text{ord det}(TS_{IJ}^{-1}) \leq C_1.$$

Now we are going to determine a lower bound. Let:

$$\vec{S}_n = {}^t(S_{n,0}, S_{n,2}, \dots, S_{n,\nu-1}), \text{ pour tout } n \geq 0;$$

then we have:

$$S^{<0>} = (S_{i,j})_{i,j \in \{0,1,\dots,\nu-1\}};$$

moreover we set:

$$A_1^{-1} = (A_{i,j})_{i,j \in \{0,1,\dots,\nu-1\}}.$$

The elements of the first row of T are of the form:

$$\det \begin{pmatrix} y_{\nu-1} & S_{s,\nu-1} \\ y_0 & S_{s,0} \end{pmatrix}, \text{ pour } s = 0, \dots, r-1,$$

and the ones of the i -th row, for $i = 1, \dots, r-1$:

$$\det \begin{pmatrix} y_i & S_{s,i} \\ y_0 & S_{s,0} \end{pmatrix}, \text{ pour } s = 0, \dots, r-1.$$

Since $\vec{S}_{n+1} = A_1(x)^{-1}\sigma_q(\vec{S}_n)$ we have:

$$\det \begin{pmatrix} y_i & S_{s+1,i} \\ y_j & S_{s+1,j} \end{pmatrix} = \det \begin{pmatrix} y_i & \sum_l A_{i,l}\sigma_q(S_{s,l}) \\ y_j & \sum_l A_{j,l}\sigma_q(S_{s,l}) \end{pmatrix},$$

therefore:

$$\inf_{i,j=0,\dots,\nu-1} \text{ord det} \begin{pmatrix} y_i & S_{s+1,i} \\ y_j & S_{s+1,j} \end{pmatrix} \geq (s+1)\text{ord}A_1(x)^{-1} + \inf_{i,j=0,\dots,\nu-1} \text{ord det} \begin{pmatrix} y_i & P_i \\ y_j & P_j \end{pmatrix}.$$

Finally,

$$\text{ord det } T \geq r(\nu-1)\text{ord}A_1(x)^{-1} + r \inf_{i,j=0,\dots,\nu-1} \text{ord det} \begin{pmatrix} y_i & P_i \\ y_j & P_j \end{pmatrix}.$$

By Lemma 7.6, we obtain:

$$\begin{aligned} \text{ord det } S_{I,J} &\leq \text{deg}(\text{numerator of det } S_{I,J}) \\ &\leq \sum_{i=0}^{r-1} \text{deg}(\text{numerator of } \vec{S}_i) \\ &\leq r \text{deg } \vec{P} + t \frac{r(r-1)}{2}. \end{aligned}$$

We deduce that:

$$\begin{aligned} \text{ord det} \left(TS_{I,J}^{-1} \right) &\geq \text{ord det}(T) - \text{ord det}(S_{I,J}) \\ &\geq r \left((\nu-1)\text{ord}A_1(x)^{-1} + \inf_{i,j=0,\dots,\nu-1} \text{ord det} \begin{pmatrix} y_i & P_i \\ y_j & P_j \end{pmatrix} - \text{deg } \vec{P} - t \frac{r(r-1)}{2} \right) \\ &\geq r \left(\inf_{i,j} \text{ord det} \begin{pmatrix} y_i & P_i \\ y_j & P_j \end{pmatrix} - \text{deg } \vec{P} \right) + C_2, \end{aligned}$$

where C_2 is a constant depending only on Λ . To conclude it is enough to choose a constant $C(\Lambda) > \frac{C_1 - C_2}{r}$. \square

7.4. Step 3. First part of estimates. We set:

$$\begin{aligned} y &= \sum_{n \geq 0} \vec{y}_n x^n, \text{ with } \vec{y}_n \in K^\nu, \\ \sigma_f(\vec{y}) &= \limsup_{n \rightarrow +\infty} \frac{1}{n} \left(\sum_{v \in \mathcal{P}_f} \sup_{s \leq n} \log^+ |\vec{y}_s|_v \right), \\ \sigma_\infty(\vec{y}) &= \limsup_{n \rightarrow +\infty} \frac{1}{n} \left(\sum_{v \in \mathcal{P}_\infty} \sup_{s \leq n} \log^+ |\vec{y}_s|_v \right). \end{aligned}$$

We recall that we are working under the assumption:

$$\sigma(y) = \limsup_{n \rightarrow +\infty} \frac{1}{n} \left(\sum_{v \in \mathcal{P}} \tilde{h}(n, v) \right) = \sigma_f(\vec{y}) + \sigma_\infty(\vec{y}) < +\infty$$

and that we want to show that $\sigma_c^{(q)}(\mathcal{M}) \leq \infty$. Since $\sigma_c^{(q)}(\mathcal{M}) \leq \sigma_{\mathcal{P}_f}^{(q)}(\mathcal{M})$, we will rather show that:

$$\sigma_{\mathcal{P}_f}^{(q)}(\mathcal{M}) = \limsup_{n \rightarrow +\infty} \frac{1}{n} \left(\sum_{v \in \mathcal{P}_f} h(\mathcal{M}, n, v) \right) < \infty,$$

where:

$$h(\mathcal{M}, n, v) = \sup_{s \leq n} \log^+ \left| \frac{G_n}{[n]_q} \right|_{v, \text{Gauss}}.$$

In the sequel g will be a polynomial constructed as in Proposition 7.4. For such a choice of g and for $\vec{P} = (g\vec{y})_{\leq N}$, the hypothesis of Corollary 7.2, Proposition 7.4 and Theorem 7.8 are satisfied.

Proposition 7.12. *We have:*

$$\sigma_{\mathcal{P}_f}^{(q)}(\mathcal{M}) \leq \sigma_f(\vec{y}) \left(\frac{\nu^2 t}{1 - \tau} + t \right) + \Omega + \sum_{v \in \mathcal{P}_f} \log^+ |A_1(x)|_{v, \text{Gauss}},$$

where:

$$\Omega = \limsup_{n \rightarrow +\infty} \frac{1}{n} \left(\nu \sum_{v \in \mathcal{P}_f} h(g, v) + \sum_{v \in \mathcal{P}_f} \log^+ \left| \left(\prod_{i=1}^{\nu-1} Q_i(x) \right) \Delta(x) \right|_{v, \text{Gauss}}^{-1} \right).$$

Proof. We fix $N, n \gg 0$ such that:

$$(7.12.1) \quad n + \nu - 1 \leq \frac{N}{t} \frac{1 - \tau}{\nu}.$$

Proposition 7.5 and Corollary 7.2 implies that for all integers $s \leq n + \nu - 1$, we have:

$$(7.12.2) \quad \left(x^s Q_s(x) \frac{d_q^s g}{[s]_q!} (x) \vec{y}(x) \right)_{\leq N+st} = x^s Q_s(x) \vec{R}_s$$

and:

$$G[s] = \sum_{i \leq s} (-1)^i \alpha_i^{(n)} \frac{d_q^{s-i}}{[s-i]_q!} (A_i(x) R^{<i>}) (R^{<0>})^{-1}.$$

For all $v \in \mathcal{P}_f$ we deduce:

$$\begin{aligned} |G[s]|_{v, \text{Gauss}} &\leq \left(\sup_{i \leq s} \left| \frac{d_q^{s-i}}{[s-i]_q!} (A_i(x) R^{<i>}) \right|_{v, \text{Gauss}} \right) |\text{adj } R^{<0>}|_{v, \text{Gauss}} |\det R^{<0>}|_{v, \text{Gauss}}^{-1} \\ &\leq \left(\sup_{i \leq s} |A_i(x) R^{<i>}|_{v, \text{Gauss}} \right) |\text{adj } R^{<0>}|_{v, \text{Gauss}} |\det R^{<0>}|_{v, \text{Gauss}}^{-1} \\ &\leq C_{1,v}^s \left(\sup_{i \leq s+\nu-1} |\vec{R}_i|_{v, \text{Gauss}} \right) \left(\sup_{i \leq \nu-1} |\vec{R}_i|_{v, \text{Gauss}} \right)^{\nu-1} |\Delta(x)|_{v, \text{Gauss}}^{-1}, \end{aligned}$$

where we have set:

$$C_{1,v} = \sup(1, |A_1(x)|_{v, \text{Gauss}})$$

and

$$\Delta(x) = \det R^{<0>}(x).$$

Taking into account our choice of N and n and (7.12.2), for all $i \leq n + \nu - 1$ we have:

$$\begin{aligned} |\vec{R}_i|_{v, \text{Gauss}} &\leq |Q_i(x)|_{v, \text{Gauss}}^{-1} |Q_i(x)|_{v, \text{Gauss}} |g|_{v, \text{Gauss}} \left| (\vec{y})_{\leq N+it} \right|_{v, \text{Gauss}} \\ &\leq |g|_{v, \text{Gauss}} \left| (\vec{y})_{\leq N+it} \right|_{v, \text{Gauss}}, \end{aligned}$$

therefore:

$$\begin{aligned} \sup_{s \leq n} \log^+ |G[s]|_{v, \text{Gauss}} &\leq n \log C_{1,v} + \tilde{h}(N + (n + \nu - 1)t, v) \\ &\quad + (\nu - 1) \tilde{h}(N + (\nu - 1)t, v) + \nu h(g, v) + \log^+ |\Delta|_{v, \text{Gauss}}^{-1}. \end{aligned}$$

We set:

$$\begin{aligned} \bar{\Delta}(x) &= \vec{R}_0 \wedge x Q_1(x) \vec{R}_1 \wedge \cdots \wedge x^{\nu-1} Q_{\nu-1}(x) \vec{R}_{\nu-1} \\ &= x^{(\nu)} \left(\prod_{i=1}^{\nu-1} Q_i(x) \right) \Delta(x). \end{aligned}$$

The fact that $|Q_1(x)|_{v, \text{Gauss}} \leq 1$ and $x^n Q^n(x) \vec{R}_n \in K[x]^\nu$, for all integers $n \geq 1$, implies that $|\bar{\Delta}(x)|_{v, \text{Gauss}} \leq |\Delta(x)|_{v, \text{Gauss}}$, with $\bar{\Delta}(x) \in K[x]$, and:

$$\begin{aligned} \sup_{s \leq n} \log^+ |G[s]|_{v, \text{Gauss}} &\leq n \log C_{1,v} + \tilde{h}(N + (n + \nu - 1)t, v) \\ &\quad + (\nu - 1) \tilde{h}(N + (\nu - 1)t, v) + \nu h(g, v) + \log^+ |\bar{\Delta}|_{v, \text{Gauss}}^{-1}. \end{aligned}$$

Taking into account condition (7.12.1), we fix a positive integer k such that:

$$(7.12.3) \quad \begin{cases} k > \frac{\nu(\nu-1)t}{1-\tau} \\ \frac{N}{n} = \frac{\nu t}{1-\tau} + \frac{k-\varepsilon_n}{n}, \text{ for some } \varepsilon_n \in (0, 1) \text{ fixed.} \end{cases}$$

Let us set:

$$C_1 = \sum_{v \in \mathcal{P}_f} \log^+ |A_1(x)|_v$$

and

$$\Omega = \limsup_{n \rightarrow +\infty} \frac{1}{n} \left(\nu \sum_{v \in \mathcal{P}_f} h(g, v) + \sum_{v \in \mathcal{P}_f} \log^+ |\bar{\Delta}(x)|_{v, Gauss}^{-1} \right).$$

We obtain:

$$\begin{aligned} \sigma_{\mathcal{P}_f}^{(q)}(\mathcal{M}) &= \limsup_{n \rightarrow +\infty} \frac{1}{n} \left(\sum_{\substack{v \in \mathcal{P}_f \\ |1-q^\kappa|^{1/(p-1)}}} \sup_{s \leq n} \log^+ \left| \frac{G_s}{[s]_q} \right|_{v, Gauss} \right) \\ &\leq \sigma_f(\vec{y}) \limsup_{n \rightarrow +\infty} \left(\frac{N + (n + \nu - 1)t}{n} + (\nu - 1) \frac{N + (\nu - 1)t}{n} \right) + C_1 + \Omega \\ &\leq \sigma_f(\vec{y}) \left(\frac{\nu t}{1-\tau} + t + (\nu - 1) \frac{\nu t}{1-\tau} \right) + C_1 + \Omega \\ &\leq \sigma_f(\vec{y}) \left(\frac{\nu^2 t}{1-\tau} + t \right) + C_1 + \Omega. \end{aligned}$$

□

7.5. Step 4. Conclusion of the proof of Theorem 4.2.

Lemma 7.13. *Let Ω be as in the previous proposition. Then:*

$$\Omega \leq \frac{\nu^2 t}{1-\tau} \sigma_\infty(\vec{y}) + \frac{\nu^2 t(\nu-1)}{1-\tau} C_2 + \limsup_{n \rightarrow +\infty} \frac{\nu}{n} h(q),$$

where

$$C_2 = \sum_{v \in \mathcal{P}_\infty} \log(1 + |q|_v)$$

is a constant depending on the v -adic absolute value of q , for all $v \in \mathcal{P}_\infty$.

Proof. Let ξ a root of unity such that:

$$\bar{\Delta}(\xi) \neq 0 \neq Q_i(\xi) \quad \forall i = 0, \dots, \nu - 1.$$

Since $|\bar{\Delta}(\xi)|_v \leq |\bar{\Delta}(x)|_{v, Gauss}$ for all $v \in \mathcal{P}_f$, the Product Formula implies that:

$$\sum_{v \in \mathcal{P}_f} \log^+ |\bar{\Delta}(x)|_{v, Gauss}^{-1} \leq \sum_{v \in \mathcal{P}_f} \log^+ |\bar{\Delta}(\xi)|_v^{-1} \leq \sum_{v \in \mathcal{P}_\infty} \log^+ |\bar{\Delta}(\xi)|_v.$$

We recall that:

$$\bar{\Delta}(x) = \det \begin{pmatrix} \vec{R}_0 & Q_1(x)\vec{R}_1 & \cdots & Q_{\nu-1}(x)\vec{R}_{\nu-1} \end{pmatrix}$$

and that for all $s \leq \nu - 1$, (7.12.2) is verified. Moreover we have:

$$\begin{aligned} Q_s(x) \frac{d_q^s(g)}{[s]_q} (x) \vec{y}(x) &= \sum_{n \geq 0} \left(\sum_{i+j+h=n} (Q_s)_i \binom{d_q^s(g)}{[s]_q}_j \right) \vec{y}_h x^n \\ &= \sum_{n \geq 0} \left(\sum_{i+j+h=n} (Q_s)_i \binom{s+j}{j}_q g_{s+j} \vec{y}_h \right) x^n, \end{aligned}$$

where we have used the notation:

for all $P \in K[[x]]$ and for all $n \in \mathbb{N}$, P_n is the coefficient of x^n in P .

We deduce that $Q_s(\xi)\vec{R}_s(\xi)$ is a sum of terms of the type:

$$(Q_s)_i \binom{s+j}{j}_q g_{s+j} \vec{y}_h \xi^n$$

with:

$$\begin{aligned} 0 \leq s \leq \nu - 1, & \quad 0 \leq i \leq \deg Q_s(x), \\ 0 \leq j \leq N, \quad s+j \leq N, & \quad 0 \leq h \leq N + (\nu - 1)t. \end{aligned}$$

For all $v \in \mathcal{P}_\infty$ we obtain:

$$\left| Q_s(\xi)\vec{R}_s(\xi) \right|_v \leq c_v \left(\sup_{s \leq j \leq N} \left| \binom{j}{s} \right|_q \right) \left(\sup_{h \leq N + (\nu - 1)t} |\vec{y}_h|_v \right) \left(\sup_{j \leq N} |g_j|_v \right),$$

with:

$$c_v = \sup \left(1, \sup_{\substack{s=0, \dots, \nu-1 \\ i=0, \dots, \deg Q_s}} |(Q_s(x))_i|_v \right).$$

Since $|q|_v \neq 1$, for all $v \in \mathcal{P}_\infty$, we have:

$$\begin{aligned} \left| \binom{j}{s} \right|_q &= \left| \frac{(1 - q^j) \cdots (1 - q^{j-s+1})}{(1 - q^s) \cdots (1 - q)} \right|_v \\ &\leq \frac{(1 + |q|_v^j) \cdots (1 + |q|_v^{j-s+1})}{|1 - |q|_v^s| \cdots |1 - |q|_v|} \\ &\leq \begin{cases} \frac{(1 + |q|_v)^s}{1 - |q|_v^s} \leq \left(\frac{1 + |q|_v}{1 - |q|_v} \right)^{\nu-1} & \text{if } |q|_v < 1; \\ \left(\frac{1 + |q|_v^j}{|q|_v^s - 1} \right)^s \leq \left(\frac{1 + |q|_v^N}{|q|_v^{\nu-1} - 1} \right)^{\nu-1} & \text{if } |q|_v > 1; \end{cases} \end{aligned}$$

hence:

$$\begin{aligned} \sup_{\substack{s=0, \dots, \nu-1 \\ j=s, \dots, N}} \left| \binom{j}{s} \right|_q &\leq \left(\frac{\sup(1 + |q|_v, 1 + |q|_v^N)}{\inf(|1 - |q|_v|, |1 - |q|_v^{\nu-1}||)} \right)^{\nu-1} \\ &\leq \frac{(1 + |q|_v)^{N(\nu-1)}}{\inf(|1 - |q|_v|, |1 - |q|_v^{\nu-1}||)^{\nu-1}} \end{aligned}$$

We obtain the following estimate:

$$\left| Q_s(\xi)\vec{R}_s(\xi) \right|_v \leq c_v \frac{(1 + |q|_v)^{N(\nu-1)}}{\inf(|1 - |q|_v|, |1 - |q|_v^{\nu-1}||)^{\nu-1}} \left(\sup_{h \leq N + (\nu - 1)t} |\vec{y}_h|_v \right) \left(\sup_{j \leq N} |g_j|_v \right).$$

Finally we get:

$$|\bar{\Delta}(\xi)|_v \leq c_v^\nu \frac{(1 + |q|_v)^{N(\nu-1)\nu}}{\inf(|1 - |q|_v|, |1 - |q|_v^{\nu-1}||)^{(\nu-1)\nu}} \left(\sup_{h \leq N + (\nu - 1)t} |\vec{y}_h|_v \right)^\nu \left(\sup_{j \leq N} |g_j|_v \right)^\nu$$

and therefore:

$$\begin{aligned} \sum_{v \in \mathcal{P}_\infty} \log^+ |\bar{\Delta}(\xi)|_v &\leq \text{const} + N\nu(\nu - 1)C_2 \\ &\quad - \nu(\nu - 1) \sum_{v \in \mathcal{P}_\infty} \log \inf(|1 - |q|_v|, |1 - |q|_v^{\nu-1}||)^{\nu-1} \\ &\quad + \nu \sum_{v \in \mathcal{P}_\infty} h(g, v) + \nu \sum_{v \in \mathcal{P}_\infty} \tilde{h}(N + (\nu - 1)t, v). \end{aligned}$$

where:

$$C_2 = \sum_{v \in \mathcal{P}_\infty} \log(1 + |q|_v).$$

We recall that by (7.12.3), we have:

$$\lim_{n \rightarrow +\infty} \frac{N}{n} = \frac{t\nu}{1 - \tau}$$

and:

$$\lim_{n \rightarrow +\infty} \frac{\log N}{n} = 0.$$

So we can conclude since:

$$\begin{aligned} \limsup_{n \rightarrow +\infty} \frac{1}{n} \sum_{v \in \mathcal{P}_f} \log^+ |\bar{\Delta}(x)|_{v, Gauss}^{-1} &\leq \limsup_{n \rightarrow +\infty} \frac{1}{n} \sum_{v \in \mathcal{P}_\infty} \log^+ |\bar{\Delta}(\xi)|_v \\ &\leq \limsup_{n \rightarrow +\infty} \left(\frac{N\nu(\nu-1)C_2}{n} + \frac{\nu}{n} \sum_{v \in \mathcal{P}_\infty} h(g, v) + \frac{\nu}{n} \sum_{v \in \mathcal{P}_\infty} \tilde{h}(N + (\nu-1)t, v) \right) \\ &\leq \frac{t\nu^2(\nu-1)}{1-\tau} C_2 + \limsup_{n \rightarrow +\infty} \left(\frac{\nu}{n} \sum_{v \in \mathcal{P}_\infty} h(g, v) + \frac{\nu}{n} \sum_{v \in \mathcal{P}_\infty} \tilde{h}(N + (\nu-1)(t-1), v) \right) \\ &\leq \frac{t\nu^2}{1-\tau} \sigma_\infty(\vec{y}) + \frac{t\nu^2(\nu-1)}{1-\tau} C_2 + \limsup_{n \rightarrow +\infty} \frac{\nu}{n} \sum_{v \in \mathcal{P}_\infty} h(g, v). \end{aligned}$$

□

Conclusion of the proof of Theorem 4.2. Proposition 7.4 implies that:

$$\begin{aligned} \limsup_{n \rightarrow +\infty} \frac{\nu}{n} h(g) &\leq \limsup_{n \rightarrow +\infty} \frac{\nu}{n} \left(\text{const} + \frac{1-\tau}{\tau} \sum_{v \in \mathcal{P}} \tilde{h} \left(N + N \frac{1-\tau}{\nu}, v \right) \right) \\ &\leq \limsup_{n \rightarrow +\infty} \frac{1-\tau}{\tau} \frac{\nu}{n} \sum_{v \in \mathcal{P}} \tilde{h} \left(N + N \frac{1-\tau}{\nu}, v \right) \\ &\leq \frac{1-\tau}{\tau} \nu \sigma(\vec{y}) \limsup_{n \rightarrow +\infty} \frac{1}{n} \left(N + N \frac{1-\tau}{\nu} \right) \\ &\leq \frac{1-\tau}{\tau} \nu \sigma(\vec{y}) \left(\frac{t\nu}{1-\tau} + t \right) \\ &\leq \frac{1-\tau}{\tau} \nu t \left(1 + \frac{\nu}{1-\tau} \right) \sigma(\vec{y}), \end{aligned}$$

which, combined with Propositions 7.12 and 7.13, implies that:

$$\begin{aligned} \sigma_{\mathcal{P}_f}^{(q)}(\mathcal{M}) &\leq \sigma_f(\vec{y}) \left(\frac{\nu^2 t}{1-\tau} + t \right) + \sigma_\infty(\vec{y}) \frac{\nu^2 t}{1-\tau} + \sigma(\vec{y}) \frac{1-\tau}{\tau} \nu t \left(1 + \frac{\nu}{1-\tau} \right) \\ &\quad + \log C_1 + \frac{\nu^2(\nu-1)t}{1-\tau} C_2 \\ &\leq \sigma(\vec{y}) \left(\frac{\nu^2 t}{1-\tau} + \nu^2 t \left(\frac{1}{\tau} + \frac{1-\tau}{\nu\tau} \right) + t \right) + \log C_1 + \frac{\nu^2(\nu-1)t}{1-\tau} C_2 \\ &\leq \sigma(\vec{y}) \left(\nu^2 t \left(\frac{\nu+1}{\nu} \frac{1}{\tau} + \frac{1}{1-\tau} \right) - \nu t + t \right) + \log C_1 + \frac{\nu^2(\nu-1)t}{1-\tau} C_2. \end{aligned}$$

The function $\frac{\nu+1}{\nu} \frac{1}{\tau} + \frac{1}{1-\tau}$ has a minimum for

$$\tau = \left(1 + \sqrt{\frac{\nu}{\nu+1}} \right)^{-1};$$

for this value of τ we get:

$$\frac{\nu+1}{\nu} \frac{1}{\tau} + \frac{1}{1-\tau} = \left(1 + \sqrt{\frac{\nu+1}{\nu}} \right) \leq \begin{cases} 4.95 & \text{for } \nu \geq 2 \\ 5.9 & \text{for } \nu = 1 \end{cases}.$$

Finally we have:

$$\sigma_{\mathcal{P}_f}^{(q)}(\mathcal{M}) \leq \log C_1 + \frac{\nu^2(\nu-1)t}{1-\tau} C_2 + \begin{cases} \sigma(\vec{y}) (4.95\nu^2 t - \nu t + (t-1)) & \text{for } \nu \geq 2 \\ \sigma(\vec{y}) 5.9t & \text{for } \nu = 1 \end{cases},$$

where

$$C_1 = \sum_{v \in \mathcal{P}_f} \log^+ |A_1(x)|_{v, Gauss}$$

and

$$C_2 = \sum_{v \in \mathcal{P}_\infty} \log(1 + |q|_v).$$

□

Part 2. GLOBAL q -GEVREY SERIES

8. DEFINITION AND FIRST PROPERTIES

The notation is the same as in Part 1. We recall that K is a finite extension of $k(q)$, equipped with its family of ultrametric norms, normalized so that the Product Formula holds. The field $K(x)$ is naturally a q -difference algebra with respect to the operator $\sigma_q : f(x) \mapsto f(qx)$.

Definition 8.1. We say that the series $f(x) = \sum_{n=0}^{\infty} a_n x^n \in K[[x]]$ is a *global q -Gevrey series of orders* $(s_1, s_2) \in \mathbb{Q}^2$ if it is solution of a q -difference equation with coefficients in $K(x)$ and

$$\sum_{n=0}^{\infty} \frac{a_n}{\left(q^{\frac{n(n-1)}{2}}\right)^{s_1} ([n]_q!)^{s_2}} x^n$$

is a G_q -function.

Remark 8.2. We point out that:

- (1) The definition above forces s_2 to be an integer, in fact the q -holonomy condition implies that the coefficients $[n]_q!^{s_2}$, for $n \geq 1$, are all contained in a finite extension of $k(q)$.
- (2) Being a global q -Gevrey series of orders (s_1, s_2) implies being a q -Gevrey series of order $s_1 + s_2$ in the sense of [BB92] for all $v \in \mathcal{P}_\infty$ extending the q^{-1} -adic norm, *i.e.* for the norms that verify $|q|_v > 1$: this simply means that $|q^{\frac{s_1 n(n-1)}{2}} [n]_q!^{s_2}|_v$ has the same growth as $|q|_v^{\binom{s_1+s_2}{2} \frac{n(n-1)}{2}}$. If $v \in \mathcal{P}_\infty$ and $|q|_v < 1$, then $|[n]_q!|_v = 1$. Therefore a global q -Gevrey series of orders (s_1, s_2) is a q -Gevrey series of order s_1 in the sense of [BB92]. This remark actually justifies the choice of considering two orders, instead of one as in the analytic theory.

In the local case, both complex (*cf.* [Béz92], [MZ00], [Zha99]) and p -adic (*cf.* [BB92]), the q -Gevrey order is not uniquely determined. The global situation considered here is much more rigid: the same happens in the differential case.

Proposition 8.3. *The orders of a given global q -Gevrey series $\sum_{n=0}^{\infty} a_n x^n \in K[[x]] \setminus K[x]$ are uniquely determined.*

Proof. Suppose that $\sum_{n=0}^{\infty} a_n x^n$ is a global q -Gevrey series of orders (s_1, s_2) and (t_1, t_2) . By definition

$$\sum_{n=0}^{\infty} \frac{a_n}{\left(q^{\frac{n(n-1)}{2}}\right)^{s_1} ([n]_q!)^{s_2}} x^n \quad \text{and} \quad \sum_{n=0}^{\infty} \frac{a_n}{\left(q^{\frac{n(n-1)}{2}}\right)^{t_1} ([n]_q!)^{t_2}} x^n$$

have finite size. We have:

$$\sum_{n=0}^{\infty} \frac{a_n}{\left(q^{\frac{n(n-1)}{2}}\right)^{t_1} ([n]_q!)^{t_2}} x^n = \sum_{n=0}^{\infty} \left(q^{\frac{n(n-1)}{2}}\right)^{s_1-t_1} ([n]_q!)^{s_2-t_2} \frac{a_n}{\left(q^{\frac{n(n-1)}{2}}\right)^{s_1} ([n]_q!)^{s_2}} x^n.$$

One observes that having finite size implies having finite radius of convergence for all $v \in \mathcal{P}$, therefore for all v such that $|q|_v \neq 1$ we must have:

$$\limsup_{n \rightarrow \infty} \left| \left(q^{\frac{n(n-1)}{2}}\right)^{s_1-t_1} ([n]_q!)^{s_2-t_2} \right|_v^{1/n} < \infty.$$

If $|q|_v > 1$ this implies:

$$\limsup_{n \rightarrow \infty} |q|_v^{\frac{n-1}{2}(s_1+s_2-(t_1+t_2))} < \infty.$$

Since for all $v \in \mathcal{P}$ such that $|q|_v < 1$ the limit $\limsup_{n \rightarrow \infty} |[n]_q!|_v^{1/n}$ is bounded we get:

$$\limsup_{n \rightarrow \infty} |q|_v^{\frac{n-1}{2}(s_1-t_1)} < \infty.$$

We deduce that necessarily $s_1 + s_2 \leq t_1 + t_2$ and $t_1 \leq s_1$, hence $t_1 \leq s_1$ and $s_2 \leq t_2$. Since the role of (t_1, t_2) and (s_1, s_2) is symmetric, one obviously obtain the opposite inequalities in the same way. \square

8.1. Changing q in q^{-1} . One can transform a q -difference equations in a q^{-1} -difference equations, obtaining:

Proposition 8.4. *Let $f(x) \in K[[x]]$ be a global q -Gevrey series of orders $(-s_1, -s_2) \in \mathbb{Q}^2$, then $f(x)$ is a global q^{-1} -Gevrey series of orders $(s_1 + s_2, -s_2)$.*

In particular, if $f(x)$ is a global q -Gevrey series of orders $(t_1, -t_2)$, with $t_1 \geq t_2 \geq 0$, then $f(x)$ is a global q^{-1} -Gevrey series of negative orders $(-(t_1 - t_2), -t_2)$.

Proof. It is enough to write $f(x)$ in the form:

$$f(x) = \sum_{n=0}^{\infty} \frac{a_n}{\left(q^{\frac{n(n-1)}{2}}\right)^{s_1} ([n]_q!)^{s_2}} x^n = \sum_{n=0}^{\infty} \frac{a_n}{\left(q^{-\frac{n(n-1)}{2}}\right)^{-s_1-s_2} ([n]_{q^{-1}}!)^{s_2}} x^n,$$

where $\sum_n a_n x^n$ is a convenient G_q -function. \square

8.2. Rescaling of the orders. Clearly we can always look at a global q -Gevrey series of orders $(s, 0)$ as a global q^t -Gevrey series of orders $(s/t, 0)$, for any $t \in \mathbb{Q}$, $t \neq 0$, the holonomy condition being always satisfied:

Lemma 8.5. *Let $t \in \mathbb{Q}$, $t \neq 0$. If $f(x) = \sum_{n=0}^{\infty} a_n x^n$ is solution of a q -difference equation then it is solution of a q^t -difference equation.*

Proof. If $f(x)$ is solution of a q -difference equation, then it is also solution of a q^{-1} -difference equation. Therefore we can suppose $t > 0$. Let $t = \frac{p}{r}$, with $p, r \in \mathbb{Z}_{>0}$. Since $f(x)$ is solution of a q -difference operator, we have:

$$\dim_{K(x)} \sum_{i \geq 0} K(x) \sigma_q^i (f(x)) < +\infty.$$

Then:

$$\dim_{K(x)} \sum_{i \geq 0} K(x) \sigma_{q^p}^i (f(x)) = \dim_{K(x)} \sum_{i \geq 0} K(x) \sigma_q^{ip} (f(x)) \leq \dim_{K(x)} \sum_{i \geq 0} K(x) \sigma_q^i (f(x)) < +\infty,$$

so $f(x)$ is solution of a q^p -difference operator. Finally we can conclude since $\sum_{i=0}^{\nu} a_i(x) f(q^{pi}x) = 0$ implies that $\sum_{i=0}^{\nu} a_i(x) f(\tilde{q}^{tir}x) = 0$. \square

Unfortunately, the same is not true for global q -Gevrey series of orders $(0, s)$. To prove it, one can calculate size of the series

$$\Phi(x) = \sum_{n \geq 0} \frac{(\tilde{q}; \tilde{q})_n^t}{(q; q)_n} x^n,$$

where \tilde{q} is a r -th root of q , for some positive integer r , $K = \mathbb{Q}(\tilde{q})$ and t is an integer. The Pochhammer symbols $(\tilde{q}; \tilde{q})_n^t$ and $(q; q)_n$ are both polynomials in $\tilde{q}^{1/2}$ of degree $tn(n+1)$ and $rn(n+1)$, respectively. If we want $\Phi(x)$ to have finite size, we are forced to take $t \leq r$, so that it has positive radius of convergence at any place v such that $|q|_v > 1$. Notice that $\Phi(x)$ is convergent for any place v such that $|q|_v < 1$ and that the noncyclotomic places give a zero contribution to the size. As far as the cyclotomic places of K is concerned, we obtain

$$\sigma_C(y) = \limsup_{n \rightarrow \infty} \frac{1}{n} \sum_{k=1}^{rn} \left(\left[\frac{n}{k}(k, r) \right] - t \left[\frac{n}{k} \right] \right) \log^+ d^{-1} \sim \limsup_{n \rightarrow \infty} \sum_{k=1}^{rn} \frac{1}{k} ((k, r) - t) \log d^{-1}.$$

The limit above is infinite.

9. FORMAL FOURIER TRANSFORMATIONS

The following natural two q -analogues of the usual formal Borel transformation

$$\begin{aligned} (\cdot)^+ : \quad K[[x]] &\longrightarrow K[[z^{-1}]] \\ F = \sum_{n=0}^{\infty} a_n x^n &\longmapsto F^+ = \sum_{n=0}^{\infty} [n]_q! a_n z^{-n-1} \end{aligned}$$

and

$$\begin{aligned} (\cdot)^\# : \quad K[[x]] &\longrightarrow K[[z^{-1}]] \\ F = \sum_{n=0}^{\infty} a_n x^n &\longmapsto F^\# = \sum_{n=0}^{\infty} q^{\frac{n(n-1)}{2}} a_n z^{-n-1}, \end{aligned}$$

are equally considered in the literature on q -difference equations. From an archimedean analytical point of view, they are equivalent as soon as one works under the hypothesis that $|q| \neq 1$ (cf. [MZ00, §8] and [DVZ07, Part II]). As already noticed in [And00b], from a global point of view, $(\cdot)^+$ and $(\cdot)^\#$ have a completely different behavior: for the same reason the definition of global q -Gevrey series involves two orders.

Let $p = q^{-1}$ and let $\sigma_p : z \mapsto pz$, $d_p = \frac{\sigma_p^{-1}}{(p-1)z}$. The Borel transformations that we have introduced above have the following properties:

Lemma 9.1. *For all $F = \sum_{n=0}^{\infty} a_n x^n \in K[[x]]$ we have:*

$$\begin{aligned} (xF)^+ &= -pd_p F^+, & (d_q F)^+ &= zF^+ - F(0), \\ (xF)^\# &= \frac{p}{z} \sigma_p F^\#, & (\sigma_q F)^\# &= p\sigma_p F^\#. \end{aligned}$$

Proof. We deduce the first equality using the relation:

$$-pd_p \frac{1}{z^n} = [n]_q \frac{1}{z^{n+1}}.$$

All the other formulas easily follow from the definitions. \square

For any q -difference operator $\sum_{i=0}^N a_i(x) \sigma_q^i \in K(x)[\sigma_q]$ (resp. $\sum_{i=0}^N b_i(x) d_q^i \in K(x)[d_q]$) we set:

$$\begin{aligned} \deg_{\sigma_q} \sum_{i=0}^{\nu} a_i(x) \sigma_q^i &= \sup\{i \in \mathbb{Z} : 0 < i < \nu, a_i(x) \neq 0\} \\ \left(\text{resp. } \deg_{d_q} \sum_{i=0}^{\nu} b_i(x) d_q^i &= \sup\{i \in \mathbb{Z} : 0 < i < \nu, b_i(x) \neq 0\} \right) \end{aligned}$$

Obviously we have $K(x)[d_q] = K(x)[\sigma_q]$ and $\deg_{d_q} = \deg_{\sigma_q}$ (for explicit formulas cf. [DV02, 1.1.10] and (10.0.3) below). The previous lemma justifies the definition of the formal Fourier transformations below, acting on the skew rings $K[x, d_q]$ and $K[x, \sigma_q]$:

Definition 9.2. We call the maps:

$$\begin{array}{ccc} \mathcal{F}_{q^+} : K[x, d_q] &\longrightarrow & K[z, d_p] & \text{and} & \mathcal{F}_{q^\#} : K[x, \sigma_q] &\longrightarrow & K\left[\frac{1}{z}, \sigma_p\right] \\ d_q &\longmapsto & z & & \sigma_q &\longmapsto & p\sigma_p \\ x &\longmapsto & -pd_p & & x &\longmapsto & \frac{1}{qz} \sigma_p \end{array}$$

the q^+ -Fourier transformation and the $q^\#$ -Fourier transformation respectively.

²This notation is a little bit ambiguous and we should rather write $\sigma_{p,z}$, $d_{p,z}$, $d_{q,x}$, etc. etc. Anyway the context will be always clear enough not to be obliged to specify the variable in the notation.

Remark 9.3. Let $\mathcal{F}_p : K[z, d_p] \rightarrow K[x, d_q]$ and let $\lambda : K[x, d_q] \rightarrow K[x, d_q]$, $d_q \mapsto -\frac{1}{q}d_q$, $x \mapsto -qx$. Then $\mathcal{F}_{q^+}^{-1} = \lambda \circ \mathcal{F}_p^+$.

As far as $\mathcal{F}_{q^\#}$ is concerned, if $\mathcal{L} = \sum_{i=0}^{\nu} a_i(\frac{1}{z})\sigma_p^i \in K[\frac{1}{z}, \sigma_p]$ is such that $\deg_{\frac{1}{z}} a_i(\frac{1}{z}) \leq i$, there exists a unique $\mathcal{N} \in K[x, \sigma_q]$ such that $\mathcal{F}_{q^\#}(\mathcal{N}) = \mathcal{L}$ and we note $\mathcal{F}_{q^\#}^{-1}(\mathcal{L}) = \mathcal{N}$.

In the following lemma we verify that the formal Fourier transformations we have just defined are compatible with the Borel transformations $(\cdot)^+$ and $(\cdot)^\#$:

Lemma 9.4. *Let $F \in K[[x]]$ be a series solution of a q -difference linear operator $\mathcal{N} \in K[x, d_q]$, such that $\nu = \deg_{d_q} \mathcal{N}$ (resp. $\mathcal{N} \in K[x, \sigma_q]$). Then $d_{q-1}^\nu \circ \mathcal{F}_{q^+}(\mathcal{N})F^+ = 0$ (resp. $\mathcal{F}_{q^\#}(\mathcal{N})F^\# = 0$).*

Inversely:

(1) *If F^+ is a solution of $\mathcal{L}_1 \in K[z, d_p]$, then $\mathcal{F}_{q^+}^{-1}(\mathcal{L}_1)F = 0$.*

(2) *If $\mathcal{L}_2 \in K[\frac{1}{z}, \sigma_p]$ is such that $\mathcal{L}_2F^\# = 0$, for all $n \in \mathbb{N}$, $n \gg 0$, we have: $\mathcal{F}_{q^\#}^{-1}(\sigma_p^n \circ \mathcal{L}_2)F = 0$.*

Proof. We prove the statements for $(\cdot)^+$. The proof for $(\cdot)^\#$ is quite similar. We write \mathcal{N} in the form:

$$\mathcal{N} = \sum_{j=0}^{\nu} \sum_{i=0}^N a_{i,j} x^i d_q^j \in K[x, d_q].$$

Lemma 9.1 implies that $\mathcal{F}_{q^+}(\mathcal{N})F^+$ is a polynomial of degree less or equal to ν , therefore $d_{q-1}^\nu \circ \mathcal{F}_{q^+}(\mathcal{N})F^+ = 0$. Let us now write \mathcal{L}_1 as:

$$\mathcal{L}_1 = \sum_{j=0}^{\nu} \sum_{i=0}^N a_{i,j} z^i d_p^j \in K[z, d_p].$$

Then $(\mathcal{F}_{q^+}^{-1}(\mathcal{L}_1)F)^+$ is a polynomial of degree less or equal to ν . Hence we obtain:

$$d_p^\nu (\mathcal{F}_{q^+}^{-1}(\mathcal{L}_1)F)^+ = ((-qx)^\nu \mathcal{F}_{q^+}^{-1}(\mathcal{L}_1)F)^+ = 0$$

and finally $(-qx)^\nu \mathcal{F}_{q^+}^{-1}(\mathcal{L}_1)F = 0$. \square

Remark 9.5. In the following we will use the formal Fourier transformations above composed with the symmetry $S : z \mapsto 1/x$:

$$(9.5.1) \quad \begin{array}{ccc} S \circ \mathcal{F}_{q^+} & : K[x, d_q] & \longrightarrow K[\frac{1}{x}, x, d_q] & \text{and} & S \circ \mathcal{F}_{q^\#} & : K[x, \sigma_q] & \longrightarrow K[x, \sigma_q] \\ d_q & \longmapsto & \frac{1}{x} & & \sigma_q & \longmapsto & \frac{1}{q}\sigma_q \\ x & \longmapsto & x^2 d_q & & x & \longmapsto & \frac{x}{q}\sigma_q \end{array} .$$

Notice that $S \circ \mathcal{F}_{q^+}(d_q \circ x) = x d_q$.

10. ACTION OF THE FORMAL FOURIER TRANSFORMATIONS ON THE NEWTON POLYGON

Let us consider a linear q -difference operator:

$$(10.0.2) \quad \mathcal{N} = \sum_{i=0}^{\nu} a_i(x) x^i d_q^i = \sum_{i=0}^{\nu} b_i(x) \sigma_q^i,$$

such that $b_j(x), a_j(x) \in K[x]$. Applying formulas [DV02, 1.1.10], we obtain:

$$(10.0.3) \quad \begin{aligned} \mathcal{N} &= \sum_{j=0}^{\nu} b_j(x) \sum_{i=0}^j \binom{j}{i}_q (1-q)^i q^{i(i-1)/2} x^i d_q^i \\ &= \sum_{i=0}^{\nu} (1-q)^i q^{i(i-1)/2} \left(\sum_{j=i}^{\nu} \binom{j}{i}_q b_j(x) \right) x^i d_q^i. \end{aligned}$$

Therefore $a_i(x) = (1-q)^i q^{i(i-1)/2} \sum_{j=i}^{\nu} \binom{j}{i}_q b_j(x)$.

We recall the definition of the Newton-Ramis Polygon:

Definition 10.1. Let $\mathcal{N} = \sum_{i=0}^{\nu} a_i(x)x^i d_q^i = \sum_{i=0}^{\nu} b_i(x)\sigma_q^i$ be such that $b_j(x), a_j(x) \in K[x]$. Then we define the *Newton-Ramis Polygon of \mathcal{N} with respect to σ_q* (and we write $NRP_{\sigma_q}(\mathcal{N})$) (resp. *with respect to d_q* (and we write $NRP_{d_q}(\mathcal{N})$)) to be the convex hull of the following set:

$$\bigcup_{b_i(x) \neq 0} \{(u, v) \in \mathbb{R}^2 : u = i, \deg_x b_i(x) \geq v \geq \text{ord}_x b_i(x)\} \subset \mathbb{R}^2.$$

$$\left(\text{resp. } \bigcup_{a_i(x) \neq 0} \{(u, v) \in \mathbb{R}^2 : u \leq i, \deg_x a_i(x) \geq v \geq \text{ord}_x a_i(x)\} \subset \mathbb{R}^2 \right).$$

For an operator with rational coefficient \mathcal{N} , we set $NRP_{\sigma_q}(\mathcal{N}) = NRP_{\sigma_q}(f(x)\mathcal{N})$ and $NRP_{d_q}(\mathcal{N}) = NRP_{d_q}(f(x)\mathcal{N})$, where $f(x)$ is a polynomial in $K[x]$ such that $f(x)\mathcal{N}$ can be written as above. In this way the Newton-Ramis polygon is defined up to a vertical shift, so that its slopes are actually well-defined.

Lemma 10.2. *We have:*

$$NRP_{d_q}(\mathcal{N}) = \bigcup_{(u_0, v_0) \in NRP_{\sigma_q}(\mathcal{N})} \{(u, v_0) \in \mathbb{R}^2 : u \leq u_0\}.$$

Proof. The statement follows from (10.0.3). \square

The following proposition describes the behavior of the Newton-Ramis Polygon with respect to \mathcal{F}_{q^+} and $\mathcal{F}_{q^\#}$.

Proposition 10.3. *The map³:*

$$\begin{array}{ccc} NRP_{\sigma_q}(\mathcal{N}) & \longrightarrow & NRP_{\sigma_p}(\mathcal{F}_{q^\#}(\mathcal{N})) \\ (u, v) & \longmapsto & (u + v, -v) \end{array} \quad \left(\begin{array}{ccc} NRP_{d_q}(\mathcal{N}) & \longrightarrow & NRP_{d_p}(\mathcal{F}_{q^+}(\mathcal{N})) \\ \text{resp.} & & \\ (u, v) & \longmapsto & (u + v, -v) \end{array} \right)$$

is a bijection between $NRP_{\sigma_q}(\mathcal{N})$ and $NRP_{\sigma_p}(\mathcal{F}_{q^\#}(\mathcal{N}))$ (resp. $NRP_{d_q}(\mathcal{N})$ and $NRP_{d_p}(\mathcal{F}_{q^+}(\mathcal{N}))$).

Proof. As far as $\mathcal{F}_{q^\#}$ is concerned, it is enough to notice that:

$$\mathcal{F}_{q^\#} \left(\sum_{i=0}^{\nu} \sum_{j=0}^N b_{i,j} x^j \sigma_q^i \right) = \sum_{i=0}^{\nu} \sum_{j=0}^N \frac{b_{i,j}}{q^{j(j-3)/2} q^i} \frac{1}{z^j} \sigma_p^{i+j}.$$

Let

$$\mathcal{N} = \sum_{i=0}^{\nu} \sum_{j=0}^N a_{i,j} x^j d_q^i.$$

We have:

$$\begin{aligned} \mathcal{F}_{q^+}(\mathcal{N}) &= \sum_{i=0}^{\nu} \sum_{j=0}^N \frac{(-1)^j a_{i,j}}{q^j} d_p^j \circ z^i \\ &= \sum_{i=0}^{\nu} \sum_{j=0}^{\nu} \sum_{h=0}^j \frac{(-1)^j a_{i,j}}{q^j} \binom{j}{h}_q \frac{[i]_q!}{[h-i]_q!} q^{(j-h)(i-h)} z^{i-h} d_p^{j-h}. \end{aligned}$$

Then if $(i, j-i) \in NRP_{d_q}(\mathcal{N})$ we have:

$$(j-h, i-j) \in NRP_{d_p}(\mathcal{F}_{q^+}(\mathcal{N})) \text{ for all } h = 0, \dots, j.$$

The statement follows from this remark. \square

By convention, the vertical sides of $NRP_{\sigma_q}(\mathcal{N})$ (resp. $NRP_{d_q}(\mathcal{N})$) have slope ∞ . The opposite of the finite slopes of the ‘‘upper part’’ of $NRP_{\sigma_q}(\mathcal{N})$ are the slopes at ∞ of \mathcal{N} while the finite slopes of the ‘‘lower part’’ are the slopes of \mathcal{N} at 0.

³To make the notation clear, we underline that we denote $NRP_{\sigma_p}(\mathcal{F}_{q^\#}(\mathcal{N}))$ the Newton-Ramis Polygon of $\mathcal{F}_{q^\#}(\mathcal{N})$ defined with respect to z and σ_p and $NRP_{d_p}(\mathcal{F}_{q^+}(\mathcal{N}))$ the Newton-Ramis Polygon of $\mathcal{F}_{q^+}(\mathcal{N})$ defined with respect to z and d_p .

Corollary 10.4. *In the notation of the previous proposition, $\mathcal{F}_{q^\#}$ (resp. \mathcal{F}_{q^+}) acts in the following way on the slopes of the Newton-Ramis Polygon:*

$$\begin{aligned} \{ \text{slopes of } NRP_{\sigma_q}(\mathcal{N}) \} &\longrightarrow \{ \text{slopes of } NRP_{\sigma_p}(\mathcal{F}_{q^\#}(\mathcal{N})) \} \\ \left(\text{resp. } \{ \text{slopes of } NRP_{d_q}(\mathcal{N}) \} \right) &\longrightarrow \left(\{ \text{slopes of } NRP_{d_p}(\mathcal{F}_{q^\#}(\mathcal{N})) \} \right) \\ \lambda &\longmapsto -\frac{\lambda}{1+\lambda} \\ \infty &\longmapsto -1 \end{aligned}$$

11. SOLUTIONS AT POINTS OF K^*

We have described what happens at zero and at ∞ when the Fourier transformations act. Now we want to describe what happens at a point $\xi \in K^* = \mathbb{P}^1(K) \setminus \{0, \infty\}$.

To construct some formal solutions of our q -difference operators at $\xi \in K^*$, we are going to consider a ring defined as follows (cf. [DV04, §1.3]). For any $\xi \in K$ and any nonnegative integer n , we consider the polynomials

$$T_n^q(x, \xi) = x^n \left(\frac{\xi}{x}; q \right)_n = (x - \xi)(x - q\xi) \cdots (x - q^{n-1}\xi).$$

One verifies directly that for any $n \geq 1$

$$d_q T_n^q(x, \xi) = [n]_q T_{n-1}^q(x, \xi)$$

and $d_q T_0^q(x, \xi) = 0$. The product $T_n^q(x, \xi) T_m^q(x, \xi)$ can be written as a linear combination with coefficients in K of $T_0^q(x, \xi), T_1^q(x, \xi), \dots, T_{n+m}^q(x, \xi)$ (cf. [DV04, §1.3]). It follows that we can define the ring:

$$K[[x - \xi]]_q = \left\{ \sum_{n \geq 0} a_n T_n^q(x, \xi) : a_n \in K \right\},$$

with the obvious sum and the Cauchy product described above, extended by linearity. The ring $K[[x - \xi]]_q$ is a q -difference algebra with the natural action of d_q . Notice that in general it makes no sense to look at the sum of those series. Nevertheless, they can be evaluated at the point of the set $\xi q^{\mathbb{Z}_{\geq 0}}$, and they are actually in bijective correspondence with the sequences $\{f(\xi q^n)\}_{n \in \mathbb{Z}_{\geq 0}} \in \mathbb{C}^{\mathbb{N}}$.

Proposition 11.1. *Let $\mathcal{N} \in K[x, d_q]$ be a linear q -difference operator such that $NRP_{d_q}(\mathcal{N})$ has only the zero slope at ∞^4 ; then the operator $\mathcal{F}_{q^+}\mathcal{N}$ has a basis of solution in $K[[z - \xi]]_p$ for all $\xi \in K^*$.*

Proof. The hypothesis on the Newton Polygon of \mathcal{N} at ∞ implies that we can write \mathcal{N} in the following form

$$\mathcal{N} = \sum_{i=0}^{\nu} \sum_{j=0}^N a_{i,j} x^j d_q^i,$$

with $a_{i,N} = 0$ for all $i = 0, \dots, \nu - 1$ and $a_{\nu,N} \neq 0$. This implies that the coefficient of d_p^N in

$$\begin{aligned} \mathcal{F}_{q^+}(\mathcal{N}) &= \sum_{i=0}^{\nu} \sum_{j=0}^N a_{i,j} (-pd_p)^j \circ z^i \\ &= \sum_{j=0}^{N-1} \sum_{i=0}^{\nu} c_{j,i} z^i d_p^j + a_{\nu,N} (-q)^{\nu-N} z^{\nu} d_p^N \end{aligned}$$

does not have any zero in the set $\{q^n \xi : n \in \mathbb{Z}_{>0}\}$. Using the fact that $d_p T_n^p(z, \xi) = [n]_p T_{n-1}^p(z, \xi)$ and that $z T_n^p(z, \xi) = T_{n+1}^p(z, \xi) + p^n \xi T_n^p(z, \xi)$, a basis of solutions of $\mathcal{F}_{q^+}(\mathcal{L})$ in $K[[z - \xi]]_p$ can be constructed working with the recursive relation induced by $\mathcal{F}_{q^+}(\mathcal{L})y = 0$ on the coefficients of a generic solution of the form $\sum_n \alpha_n T_n^p(z, \xi)$. \square

⁴or equivalently, $NRP_{d_q}(\mathcal{N})$ has no negative slopes.

Corollary 11.2. *For any $\mathcal{N} \in K[z, d_p]$ (resp. $\mathcal{N} \in K[x, d_q]$, $\mathcal{N} \in K[z, d_p]$) having only the zero slope at ∞ , the operator $\mathcal{F}_{q^+}^{-1}(\mathcal{N})$ (resp. $S \circ \mathcal{F}_{q^+}(\mathcal{N})$, $S \circ \mathcal{F}_{q^+}^{-1}(\mathcal{N})$) has a basis of solution in $K[[x - \xi]]_q$ for any $\xi \in K^*$.*

Proof. The statement follows from the remark that $\mathcal{F}_{q^+}^{-1}(\mathcal{N}) = \lambda \circ \mathcal{F}_{p^+}(\mathcal{N})$ and that the symmetry $S : z \mapsto 1/x$ does not changes the kind of singularity at the points of K^* . \square

An analogous property holds for $\mathcal{F}_{q^\#}^{-1}$:

Proposition 11.3. *Let $\mathcal{L} = \sum_{i=0}^{\nu} a_i \left(\frac{1}{z}\right) \sigma_p^i \in K\left[\frac{1}{z}, \sigma_p\right]$ such that $\deg_{\frac{1}{z}} a_i \left(\frac{1}{z}\right) \leq i$. We suppose that*

$$N = \text{ord}_{\frac{1}{z}} a_\nu \left(\frac{1}{z}\right) \leq \text{ord}_{\frac{1}{z}} a_i \left(\frac{1}{z}\right),$$

for all $i = 0, \dots, \nu - 1$ ⁵. Then $\mathcal{F}_{q^\#}^{-1}(\mathcal{L})$ has a basis of solution in $K[[x - \xi]]_q$ for all $\xi \in K^*$.

Proof. We call $a_{\nu, N} \in K$ the coefficients of $\frac{1}{z}^N$ in $a_\nu \left(\frac{1}{z}\right)$. Then we have:

$$\mathcal{F}_{q^\#}^{-1}(\mathcal{L}) = \sum_{i=0}^{\nu-N-1} b_i(x) \sigma_q^i + a_{\nu, N} x^N \sigma_q^{\nu-N}.$$

One ends the proof as above. \square

12. STRUCTURE THEOREMS

Inspired by [And00a], we want to characterize q -difference operators killing a global q -Gevrey series of orders $(-s_1, -s_2)$, with $(s_1, s_2) \in \mathcal{Z} := \mathbb{Q}_{\geq 0} \times \mathbb{Z}_{\geq 0} \setminus \{(0, 0)\}$.

The skew polynomial ring $K(x)[d_q]$ is euclidean with respect to \deg_{d_q} . It follows that, if we have a formal power series y solution of a q -difference operator, we can find a q -difference operator \mathcal{L} killing y and such that $\deg_{d_q} \mathcal{L}$ is minimal. All the other linear q -difference operators killing y , minimal with respect to \deg_{d_q} , are of the form $f(x)\mathcal{L}$, with $f(x) \in K(x)$. By abuse of language, we will call the minimal degree operator $\mathcal{L} \in K[x, d_q]$ (resp. $K[x, \sigma_q]$) with no common factors in the coefficients *the* minimal operator killing y .

Remark 12.1. Let $y(x) \in K[[x]]$ be a formal power series solution of the linear q -difference operator $\mathcal{L}_q = \sum_{i=0}^{\nu} a_i(x) \sigma_q^i$. We choose \mathcal{L}_q such that $\deg_{\sigma_q} \mathcal{L}_q$ is minimal. Then for all positive integers r the operator $\mathcal{L}_{q^{1/r}} = \sum_{i=0}^{\nu} a_i(x) \sigma_{q^{1/r}}^{ir}$ is the minimal $q^{1/r}$ -difference operator killing $y(x)$. Moreover if λ is a slope of $NRP_{\sigma_q}(\mathcal{L}_q)$ (resp. $NRP_{d_q}(\mathcal{L}_q)$) then λ/r is a slope of $NRP_{\sigma_q}(\mathcal{L}_{q^{1/r}})$ (resp. $NRP_{d_q}(\mathcal{L}_{q^{1/r}})$). In fact, let \mathcal{L} be a $q^{1/r}$ -difference operator killing $y(x)$, minimal with respect to $\deg_{\sigma_{q^{1/r}}}$. Then $\mathcal{L}_{q^{1/r}}$ is a factor of \mathcal{L} in $K(x)[\sigma_{q^{1/r}}]$, hence $\deg_{\sigma_{q^{1/r}}} \mathcal{L} \geq r \deg_{\sigma_q} \mathcal{L}_q$. On the other side we have:

$$\dim_{K(x)} \sum_{i \geq 0} K(x) \sigma_{q^{1/r}}^i(y) \geq \dim_{K(x)} \sum_{i \geq 0} K(x) \sigma_{q^{1/r}}^{ir}(y) = \dim_{K(x)} \sum_{i \geq 0} K(x) \sigma_q^i(y),$$

therefore $\deg_{\sigma_{q^{1/r}}} \mathcal{L} \geq r \deg_{\sigma_q} \mathcal{L}_q$.

We recall the statement of Corollary 4.4, which is the starting point for this second part of the paper:

Proposition 12.2. *Let $F \in K[[x]]$ be a global q -Gevrey series of orders $(0, 0)$ and $\mathcal{L} \in K[x, d_q]$ the minimal q -difference operator such that $\mathcal{L}F = 0$. Then \mathcal{L} is regular singular.*

Using the formal q -Fourier transformations introduced in the previous section, we will deduce the structure theorems below from Proposition 12.2.

Theorem 12.3. *Let $F \in K[[x]] \setminus K[x]$ be a global q -Gevrey series of orders $(-s_1, -s_2)$, with $(s_1, s_2) \in \mathcal{Z}$ and $\mathcal{L} \in K[x, d_q]$ be the minimal linear q -difference operator such that $\mathcal{L}F = 0$. Then \mathcal{L} has the following properties:*

- the set of finite slopes of the Newton Polygon $NRP_{d_q}(\mathcal{L})$ is $\{-1/(s_1 + s_2), 0\}$;
- for all $\xi \in K^*$, the q -difference operator \mathcal{L} has a basis of solutions in $K[[x - \xi]]_q$.

⁵or equivalently, that $NRP_{d_q}(S \circ \mathcal{L})$ does not have any positive slope.

Proof. Let us write the formal power series F in the form:

$$F = \sum_{n=0}^{\infty} \frac{a_n}{\left(q^{\frac{n(n-1)}{2}}\right)^{s_1} ([n]_q!)^{s_2}} x^n,$$

where $\sum_{n=0}^{\infty} a_n x^n$ is a G_q -function. Let $\tilde{F}(x) = \sum_{n=0}^{\infty} a_n x^{n+s_2}$; then the series \tilde{F} has finite size, therefore there exists a regular singular q -difference operator $\mathcal{L} \in K[x, \sigma_q]$ such that $\mathcal{L}\tilde{F} = 0$. The polygon $NRP_{\sigma_q}(\mathcal{L})$ has only the zero slope (apart from the infinite slopes).

Let \mathcal{S} be the symmetry with respect to the origin:

$$\begin{aligned} \mathcal{S}: \quad x &\longmapsto 1/z \\ \sigma_q &\longmapsto \sigma_p. \end{aligned}$$

Remark that the operator $\mathcal{F}_{q^+}^{-1} \circ \mathcal{S}(\mathcal{L})$ kill the formal power series $\sum_{n=0}^{\infty} \frac{a_n}{[n]_q!} x^{n+s_2-1}$. The polygon $NRP_{d_p}(\mathcal{S}(\mathcal{L}))$ is obtained by $NRP_{d_q}(\mathcal{L})$ applying a symmetry with respect to the line $v = 0$. It follows from Proposition 10.4 that the set of finite slopes of $NRP_{d_q}(\mathcal{F}_{q^+}^{-1} \circ \mathcal{S}(\mathcal{L}))$ is $\{0, -1\}$. Iterating s_2 times this reasoning, we obtain a q -difference operator $\tilde{\mathcal{L}} = \mathcal{F}_{q^+}^{-1} \circ \mathcal{S} \circ \dots \circ \mathcal{F}_{q^+}^{-1} \circ \mathcal{S}(\mathcal{L})$, such that the set of finite slopes of $NRP_{d_q}(\tilde{\mathcal{L}})$ is $\{0, -1/s_2\}$. We obtain:

$$\tilde{\mathcal{L}} \left(\sum_{n=0}^{\infty} \frac{a_n}{([n]_q!)^{s_2}} x^n \right) = 0.$$

Because of §8.2, we can now suppose that s_1 is actually a positive integer. We conclude the proof applying the same argument to $\bar{\mathcal{L}} = \left(\mathcal{F}_{q^\#}^{-1} \circ \circ \mathcal{S}\right) \circ \dots \circ \left(\mathcal{F}_{q^\#}^{-1} \circ \mathcal{S}\right) \left(\sigma_q^n \circ \tilde{\mathcal{L}} \circ x^{s_1}\right)$, for a suitable $n \in \mathbb{Z}_{\geq 0}$, and to the Newton-Ramis Polygon defined with respect to σ_q . We know that $\bar{\mathcal{L}}F = 0$.

The operator \mathcal{L} is a factor of $\bar{\mathcal{L}}$ in $K(x)[\sigma_q]$. We know (cf. for instance [Sau04]) that the slopes of the Newton Polygon of \mathcal{L} at zero (resp. ∞) are slopes of the Newton Polygon of $\bar{\mathcal{L}}$ at zero (resp. ∞). To obtain the desired result on the slopes of $NRP_{d_q}(\mathcal{L})$ one has to notice that $\bar{\mathcal{L}}$ must have a positive slope at ∞ because of [Ram92, Theorem 4.8]. As far as $\xi \in K^*$ is concerned, the operator $\bar{\mathcal{L}}$ has a basis of solutions at ξ in $K[[x - \xi]]_q$ (cf. Propositions 11.1 and 11.3), therefore the same is true for \mathcal{L} . \square

Proposition 10.3 implies that for a global q -Gevrey series of orders $(-s_1, 0)$ we have actually proved a more precise result:

Theorem 12.4. *Under the hypothesis of the previous theorem, we assume that $s_2 = 0$. Then \mathcal{L} has the following properties:*

- the set of finite slopes of $NP_{\sigma_q}(\mathcal{L})$ is $\{0, -1/s_1\}$;
- for all $\xi \in K^*$, the q -difference operator \mathcal{L} has a basis of solutions in $K[[x - \xi]]_q$.

Changing q in q^{-1} we get the corollary:

Corollary 12.5. *Let $F \in K[[x]] \setminus K[x]$ be a global q -Gevrey series of orders $(s_1, -s_2)$, with $(s_1, s_2) \in \mathbb{Q} \times \mathbb{Z}$, such that $s_1 \geq s_2 \geq 0$ and either $s_1 \neq s_2$ or $s_2 \neq 0$. Let $\mathcal{L} \in K[x, \sigma_q]$ be the minimal linear q -difference operator such that $\mathcal{L}F = 0$. Then \mathcal{L} has the following properties:*

- the set of finite slope of $NP_{d_p}(\mathcal{L})$ is $\{0, 1/s_1\}$
- for all $\xi \in K^*$, the q -difference operator \mathcal{L} has a basis of solutions in $K[[x - \xi]]_p$.

Proof. It follows by Proposition 8.4, taking into account that when one changes q in q^{-1} , the slopes of the Newton Polygon change sign. \square

Following [And00b] we can characterize the apparent singularities of such a q -difference equation:

Theorem 12.6. *Let $F \in K[[x]] \setminus K[x]$ be a global q -Gevrey series of orders $(-s_1, -s_2)$, with $(s_1, s_2) \in \mathcal{Z}$. We fix a point $\xi \in K^*$. For all $v \in \mathcal{P}$ such that $|q|_v > 1$ we suppose that the v -adic function $F(x)$ has a zero at ξ . Let $\mathcal{L} \in K[x, d_q]$ be the minimal linear q -difference operator such that $\mathcal{L}F = 0$. Then \mathcal{L} has a basis of solution in*

$$(x - \xi)K[[x - q\xi]]_q = \left\{ \sum_{n=1}^{\infty} a_n (x - \xi)_n : a_n \in K \right\}.$$

The proof is based on the following lemma, which is an analogue of [And00b, Lemme 2.1.2] (cf. also [And00b, Lemma 4.4.2]).

Lemma 12.7. *Let F be a global q -Gevrey series of orders $(-s_1, -s_2)$, with $s_1, s_2 \in \mathbb{Q}_{\geq 0} \times \mathbb{Z}_{\geq 0}$. We fix a point $\xi \in K^*$. For all $v \in \mathcal{P}$ such that $|q|_v > 1$ we suppose that the v -adic entire function $F(x)$ has a zero at ξ . Then $G = (x - \xi)^{-1}F$ is a global q -Gevrey series of orders $(-s_1, -s_2)$.*

Proof of Theorem 12.6. We fix some notation:

$$F = \sum_{n=0}^{\infty} \frac{a_n}{q^{s_1 \frac{n(n-1)}{2}} [n]_q^{s_2}} x^n, \quad G = \sum_{n=0}^{\infty} \frac{b_n}{q^{s_1 \frac{n(n-1)}{2}} [n]_q^{s_2}} x^n,$$

$$\tilde{h}(n, v, F) = \sup_{s \leq n} |a_s|_v \quad \text{and} \quad \tilde{h}(n, v, G) = \sup_{s \leq n} |b_s|_v.$$

Since $\frac{1}{x-\xi} = -\sum_{n \geq 0} \frac{x^n}{\xi^{n+1}}$, we obtain:

$$b_n = -\sum_{k=0}^n \left(q^{\frac{n(n-1)}{2} - \frac{k(k-1)}{2}} \right)^{s_1} \left(\frac{[n]_q!}{[k]_q!} \right)^{s_2} \xi^{k-n-1} a_k$$

and therefore:

$$\limsup_{n \rightarrow \infty} \frac{1}{n} \sum_{|q|_v \leq 1} \tilde{h}(n, v, G) \leq \limsup_{n \rightarrow \infty} \frac{1}{n} \sum_{|q|_v \leq 1} \tilde{h}(n, v, F) + \sum_{|q|_v \leq 1} |\xi|_v.$$

To conclude it is enough to prove that G is a local q -Gevrey series of order $s_1 + s_2$ for all $v \in \mathcal{P}$ such that $|q|_v > 1$. This follows from [Ram92, Prop. 2.1], since F and G have the same growth at ∞ , because F has a zero at ξ . \square

Proof. Let $G = (x - \xi)^{-1}F$ and \mathcal{L} be the minimal linear q -difference operator such that $\mathcal{L}F = 0$; then $\mathcal{L} \circ (x - \xi)$ is the minimal linear q -difference operator such that $\mathcal{L} \circ (x - \xi)(G) = 0$. By Lemma 12.7 and Theorem 12.3, $\mathcal{L} \circ (x - \xi)$ has a basis of solution in $K[[x - q\xi]]_q$, therefore the operator \mathcal{L} has a basis of solution in $(x - \xi)K[[x - q\xi]]_q$. \square

Once again, switching q into q^{-1} we obtain the corollary:

Corollary 12.8. *Let $F \in K[[x]] \setminus K[x]$ be a global q -Gevrey series of orders $(s_1, -s_2)$, with $s_1, s_2 \in \mathbb{Q} \times \mathbb{Z}$, $s_1 \geq s_2 \geq 0$ and either $s_1 \neq s_2$ or $s_2 \neq 0$. We fix a point $\xi \in K^*$. For all $v \in \mathcal{P}$ such that $|q|_v < 1$ we suppose that the v -adic function $F(x)$ has a zero at ξ . Let $\mathcal{L} \in K[x, d_q]$ be the minimal linear q -difference operator such that $\mathcal{L}F = 0$. Then \mathcal{L} has a basis of solution in*

$$(x - \xi)K[[x - p\xi]]_p.$$

Proof. It follows from Proposition 8.4 and Theorem 12.6. \square

We conclude the section with an example:

Example 12.9. Let us consider the q -exponential series $E_q(x) = \sum_{n \geq 0} \frac{x^n}{[n]_q!}$, solution of the equation $d_q y = y$. A classical formula (cf. [GR90, 1.3.16]) says that for $|q|_v > 1$ the series $E_q(x)$ can be written as an infinite product:

$$E_q(x) = (-x(1 - q^{-1}); q^{-1})_{\infty} := \prod_{k=0}^{\infty} \left(1 - x \frac{1 - q}{q^{k+1}} \right),$$

hence $E_q(\frac{q}{1-q}) = 0$ for all v such that $|q|_v > 1$. Let us consider formal q -series:

$$G(x) = \frac{E_q(x)}{x - \frac{q}{1-q}} = \frac{q-1}{q} E_q\left(\frac{x}{q}\right).$$

Obviously, $qd_q G(x) - G(x) = 0$ and actually:

$$(d_q - 1) \circ \left(x - \frac{q}{1-q} \right) G(x) = \left(x - \frac{1}{1-q} \right) (qd_q - 1) G(x) = 0.$$

Since $\sum_{n \geq 0} \frac{q^{-n}}{[n]_q!} T_n^q \left(x, \frac{q^2}{1-q} \right) \in K[[x - \frac{q^2}{1-q}]]_q$ is a formal solution of $qd_q y = y$, the series

$$\left(x - \frac{q}{1-q} \right) \sum_{n \geq 0} \frac{q^{-n}}{[n]_q!} T_n^q \left(x, \frac{q^2}{1-q} \right) \in \left(x - \frac{q}{1-q} \right) K \left[\left[x - \frac{q^2}{1-q} \right] \right]_q$$

is a formal solution of $d_q y = y$.

13. AN IRRATIONALITY RESULT FOR GLOBAL q -GEVREY SERIES OF NEGATIVE ORDERS

In this section we are going to give a simple criteria to determine the q -orbits where a global q -Gevrey series does *not* satisfy the hypothesis of Theorem 12.6. We will deduce an irrationality result for values of a global q -Gevrey series $F(x) \in K[[x]] \setminus K[x]$ of negative orders.

Remark 13.1. The arithmetic Gevrey series theory in the differential case has applications to transcendence theory (cf. [And00b]). In the global q -Gevrey series framework this can not be true, since the set of global q -Gevrey series has only a structure of $\bar{k}(q)$ -vector space. We mean that the product of two global q -Gevrey series of nonzero orders doesn't need to be a global q -Gevrey series, as the following example shows:

$$e_q(x)^2 = \left(\sum_{n=0}^{\infty} \frac{x^n}{[n]_q!} \right)^2 = \sum_{n=0}^{\infty} \left(\sum_{k=0}^n \binom{n}{k}_q \right) \frac{x^n}{[n]_q!}.$$

In fact, because of the estimate at the cyclotomic places $e_q(x)^2$ should be a global q -Gevrey series of order $(0, -1)$, while the local q -Gevrey order at places $v \in \mathcal{P}_{\infty}$ such that $|q|_v > 1$ is 2. For this reason a global q -Gevrey series theory can only have applications to the irrationality theory.

Let

$$\mathcal{L} = a_{\nu}(x)\sigma_q^{\nu} + \cdots + a_1(x)\sigma_q + a_0(x) \in K[x, \sigma_q],$$

and let $u_0, \dots, u_{\nu-1}$ a basis of solution of \mathcal{L} is a convenient q -difference algebra extending $K(x)$. The *Casorati matrix*

$$\mathcal{U} = \begin{pmatrix} u_0 & \cdots & u_{\nu-1} \\ \sigma_q u_0 & \cdots & \sigma_q u_{\nu-1} \\ \vdots & \ddots & \vdots \\ \sigma_q^{\nu-1} u_0 & \cdots & \sigma_q^{\nu-1} u_{\nu-1} \end{pmatrix},$$

is a fundamental solution of the q -difference system

$$\sigma_q \mathcal{U} = \left(\begin{array}{c|ccc} 0 & & & \\ \vdots & & & \\ 0 & & \mathbb{I}_{\nu-1} & \\ \hline -\frac{a_0(x)}{a_{\nu}(x)} & -\frac{a_1(x)}{a_{\nu}(x)} & \cdots & -\frac{a_{\nu-1}(x)}{a_{\nu}(x)} \end{array} \right) \mathcal{U},$$

so that $\mathcal{C} = \det \mathcal{U}$ is solution of the equation:

$$\sigma_q \mathcal{C} = (-1)^{\nu} \frac{a_0(x)}{a_{\nu}(x)} \mathcal{C}.$$

Notice that the “ q -Wronskian lemma” (cf. for instance [DV02, §1.2]) implies that the determinant of the Casorati matrix of a basis of solutions of an operator \mathcal{L} is nonzero.

Proposition 13.2. *Let $F \in K[[x]] \setminus K[x]$ be a global q -Gevrey series of orders $(-s_1, -s_2)$, with $s_1, s_2 \in \mathcal{Z}$. We fix a point $\xi \in K^*$. Let $\mathcal{L} = a_{\nu}(x)\sigma_q^{\nu} + \cdots + a_1(x)\sigma_q + a_0(x) \in K[x, \sigma_q]$ be the minimal q -difference operator such that $\mathcal{L}F = 0$. If $F(x)$ has a zero at ξ for all v such that $|q|_v > 1$, then there exists an integer $m \geq 0$ such that $q^m \xi$ is a zero of $a_0(x)$.*

Proof. The determinant of the Casorati matrix of a basis of solutions of \mathcal{L} satisfies the equation

$$y(qx) = (-1)^{\nu} \frac{a_{\nu}(x)}{a_0(x)} y(x).$$

On the other hand we know that \mathcal{L} has a basis of solution $u_0, \dots, u_{\nu-1} \in (x - \xi)K[[x - q\xi]]$. This means that the u_i 's are formal series of the form $\sum_{n \geq 1} a_n T_n^q(x, \xi)$, for some $a_n \in K$. Since $(qx - \xi) = q(x - q^{n-1}\xi) + (q^n - 1)\xi$, one obtain that

$$\sigma_q \left(\sum_{n \geq 1} a_n T_n^q(x, \xi) \right) = qa_1 + \sum_{n \geq 1} (q^n a_n + q^{n+1} a_{n+1} \xi (q^n - 1)) T_n^q(x, \xi).$$

This implies that the determinant \mathcal{C} of the Casorati matrix of $u_0, \dots, u_{\nu-1}$ is an element of $(x - \xi)K[[x - q\xi]]_q$. Let $m \geq 1$ be the larger integer such that $\mathcal{C} \in T_m^q(x, \xi)K[[x - q^m\xi]]_q$. The formula above implies that $\sigma_q \mathcal{C} \in T_{m-1}^q(x, \xi)K[[x - q^{m-1}\xi]]_q \setminus T_m^q(x, \xi)K[[x - q^m\xi]]_q$, and therefore that $q^{m-1}\xi$ is a zero of $a_0(x)$. \square

In the same way we can prove the following result:

Corollary 13.3. *Let $F \in K[[x]] \setminus K[x]$ be a global q -Gevrey series of orders $(s_1, -s_2)$, with $s_1, s_2 \in \mathbb{Q} \times \mathbb{Z}$, $s_1 \geq s_2 \geq 0$ and either $s_1 \neq s_2$ or $s_2 \neq 0$. We fix a point $\xi \in K^*$. Let $\mathcal{L} = a_\nu(x)\sigma_q^\nu + \dots + a_1(x)\sigma_q + a_0(x) \in K[x, \sigma_q]$ be the minimal linear q -difference operator such that $\mathcal{L}F = 0$. If $F(x)$ has a zero at ξ for all $v \in \mathcal{P}$ such that $|q|_v < 1$ then there exists an integer $m \leq -\nu$ such that $q^m\xi$ is a zero of $a_\nu(x)$.*

Proof. It follows from Proposition 8.4 that $F(x)$ is a global q^{-1} -Gevrey series of negative orders $(-(s_1 - s_2), -s_2)$ and the minimal linear q^{-1} -difference operator killing $F(x)$ is $a_\nu(q^{-\nu}x) + \dots + a_1(q^{-\nu}x)\sigma_{q^{-1}}^{\nu-1} + a_0(q^{-\nu}x)\sigma_{q^{-1}}^\nu$. \square

Example 13.4. Let us consider the field $K = k(q)$ and the Tchakaloff series:

$$T_q(x) = \sum_{n \geq 0} \frac{x^n}{q^{n(n-1)/2}}.$$

Together with $E_q(x)$, $T_q(x)$ is a q -analogue of the exponential function. The minimal linear q -difference equation killing $T_q(x)$ is

$$\mathcal{L} = (\sigma_q - 1) \circ (\sigma_q - qx) = (\sigma_q - q^2x) \circ (\sigma_q - 1) = \sigma_q^2 - (1 + q^2x)\sigma_q + q^2x.$$

Notice that $1, T_q(x)$ is a basis of solutions of \mathcal{L} at zero. We conclude that $T_q(\xi) \neq 0$ for all $\xi \in K^*$, as the value a q^{-1} -adic entire analytic function, *i.e.* the hypothesis of Theorem 12.6 are never satisfied.

In particular, let $K = k(\tilde{q})$, where $\tilde{q}^r = q$ for some positive integer r . For any $\xi \in k(\tilde{q})$, $\xi \neq 0$, the \tilde{q}^{-1} -adic value $T_q(\xi)$ of $T_q(x)$ at ξ can be formally written as a Laurent series in $k((\tilde{q}^{-1}))$, which is the completion of $k(\tilde{q})$ at the \tilde{q}^{-1} -adic place. The theorem above says that $T_q(\xi)$ cannot be the expansion of a rational function in $k(\tilde{q})$. In fact, if it was, there would exist $c \in k(\tilde{q})$ such that $T_q(x) + c$ has a zero at ξ and is solution of \mathcal{L} . This would imply that \mathcal{L} has a basis of solutions having a zero at ξ , against the fact that the constants are solution of \mathcal{L} .

As in [And00b], we can also deduce a Lindemann-Weierstrass type statement:

Corollary 13.5. *Let $K = k(\tilde{q})$, where \tilde{q} is a root of q . We consider the q -exponential function $e_q(x) = \sum_{n \geq 0} \frac{x^n}{[n]_q!}$ and a set of element $a_1, \dots, a_r \in K$, which are multiplicatively independent modulo $q^{\mathbb{Z}}$ (i.e. $\alpha_1^{\mathbb{Z}} \dots \alpha_r^{\mathbb{Z}} \cap q^{\mathbb{Z}} = \{1\}$). Then the Laurent series $e_q(a_1\xi), \dots, e_q(a_r\xi) \in k((\tilde{q}^{-1}))$ are linearly independent over $k(\tilde{q})$ for any $\xi \in K^*$.*

Proof. It is enough to notice that $e_q(a_1x), \dots, e_q(a_rx)$ is a basis of solutions of the operator

$$(d_q - a_1) \circ \dots \circ (d_q - a_r).$$

If there exist $\lambda_1, \dots, \lambda_r \in K$ such that $\lambda_1 e_q(a_1\xi) + \dots + \lambda_r e_q(a_r\xi) = 0$, then $e_q(\alpha_i\xi) = 0$ for any $i = 1, \dots, r$, because of Theorem 12.6. Since $e_q(x)$ satisfies the equation $y(qx) = (1 + (q-1)x)e_q(x)$, we deduce that $\xi \in \frac{q^{\mathbb{Z} \geq 1}}{(1-q)\alpha_i}$, for any $i = 1, \dots, r$. The last assertion would imply that $\alpha_i \alpha_j^{-1} \in q^{\mathbb{Z}}$ for any pair of distinct i, j , against the assumption. \square

We can deduce by Theorem 12.6 an irrationality result for all global q -Gevrey series $F(x)$ such that zero is not a slope of the Newton Polygon at ∞ of the minimal q -difference operator that kills $F(x)$:

Theorem 13.6. *Let $\overline{k(q)}$ be a fixed algebraic closure of $k(q)$ and $\tilde{K} \subset \overline{k(q)}$ the maximal extension of $k(q)$ such that the q^{-1} -adic norm of $k(q)$ extends uniquely to \tilde{K} .*

Let $F(x) \in \tilde{K}[[x]] \setminus \tilde{K}[x]$ be a global q -Gevrey series of orders $(-s_1, -s_2)$, with $(s_1, s_2) \in \mathcal{Z}$, and \mathcal{L} the minimal linear q -difference operator such that $\mathcal{L}F(x) = 0$. We suppose that zero is not a slope of \mathcal{L} at ∞ . Then for all $\xi \in K^$ the value $F(\xi)$ of the q^{-1} -adic analytic entire function $F(x)$ is not an element of \tilde{K} (but of its \tilde{q}^{-1} -adic completion).*

Before proving the theorem, we give an example, which illustrates the proof:

Example 13.7. Let us consider the q -analogue of a Bessel series

$$B_q(x) = \sum_{n \geq 0} \frac{x^n}{[n]_q!^2}.$$

The series $B_q(x)$ is solution of the linear q -difference operator $(xd_q)^2 - x$ that can be written also in the form:

$$\mathcal{L} = \sigma_q^2 - 2\sigma_q + (1 - (q-1)^2x).$$

There is a unique factorization of a linear q -difference operator linked to the slopes of its Newton Polygon (cf. [Sau04]): we deduce that \mathcal{L} is the minimal q -difference operator killing $B_q(x)$ from the fact that the only slope of the Newton-Polygon of \mathcal{L} at ∞ is $-1/2$. We conclude that $B_q(\xi) = 0$ for all v such that $|q|_v > 1$, with $\xi \in \mathbb{P}^1(K)$, implies $\xi = q^m/(q-1)^2$ for some integer $m \geq 2$.

Let $K = k(\tilde{q})$, with $\tilde{q}^r = q$ for some positive integer r . In this case the \tilde{q}^{-1} -adic norm is the only one such that $|q|_v > 1$. For any $c \in K$ we have:

$$(q\sigma_q - 1) \circ \mathcal{L}(B_q(x) + c) = 0.$$

One notices that the slopes of the Newton Polygon of $(q\sigma_q - 1) \circ \mathcal{L}$ at ∞ are $\{0, -1/2\}$, therefore we deduce from the uniqueness of the factorization that $(q\sigma_q - 1) \circ \mathcal{L}$ is the minimal q -difference operator killing $B_q(x) + c$. Since constants are solutions of $(q\sigma_q - 1) \circ \mathcal{L}$, Theorem 12.6 implies that no solution of $(q\sigma_q - 1) \circ \mathcal{L}$ can have a zero at any point $\xi \in K^*$ as \tilde{q}^{-1} -adic holomorphic functions. This means that the function $B_q(x) + c$ cannot have a zero as a \tilde{q}^{-1} -adic analytic function at $\xi \in K^*$, which means that $B_q(x)$ takes values in $k((\tilde{q}^{-1})) \setminus k(\tilde{q})$ at each $\xi \in K^*$.

Proof of Theorem 13.6. Let $c \in \tilde{K}$, $c \neq 0$, $G(x) = F(x) + c$, $\mathcal{L} = \sum_{i=1}^{\nu} a_i(x)d_q^i \in \tilde{K}[x, d_q]$ (resp. $\mathcal{N} = \sum_{j=1}^{\mu} b_j(x)d_q^j \in \tilde{K}[x, d_q]$) be the minimal q -difference operator killing $F(x)$ (resp. $G(x)$). Of course we may assume that $a_i(x), b_j(x) \in \tilde{K}(x)$ and $a_\nu(x) = b_\mu(x) = 1$, and that everything is defined over a finite extension $K \subset \tilde{K}$ of $k(q)$.

Since:

$$\left(d_q - \frac{d_q(a_0)(x)}{a_0(x)}\right) \circ \mathcal{L}(G(x)) = 0 \text{ and } \left(d_q - \frac{d_q(b_0)(x)}{b_0(x)}\right) \circ \mathcal{N}(F(x)) = 0,$$

we must have $\nu - 1 \leq \mu \leq \nu + 1$. Let us suppose first $\nu = \mu$. Then

$$\left(d_q - \frac{d_q(a_0)(x)}{a_0(x)}\right) \circ \mathcal{L} = \left(d_q - \frac{d_q(b_0)(x)}{b_0(x)}\right) \circ \mathcal{N}$$

since they have the same set of solutions and they are both monic operators. By hypothesis, zero is not a slope of the Newton Polygon of \mathcal{L} at ∞ , while $\left(d_q - \frac{d_q(a_0)(x)}{a_0(x)}\right)$ has only the zero slope at ∞ : we conclude by the uniqueness of the factorization that $\mathcal{L} = \mathcal{N}$. We remark that the equality $\mathcal{L} = \mathcal{N}$ implies that constants are solutions of \mathcal{L} and that \mathcal{L} has a zero slope at ∞ , hence we obtain a contradiction. So either $\mu = \nu - 1$ or $\mu = \nu + 1$. If $\mu = \nu - 1$, then

$$\mathcal{L} = \left(d_q - \frac{d_q(b_0)(x)}{b_0(x)}\right) \circ \mathcal{N}$$

since both \mathcal{L} and \mathcal{N} are monic. Once again, constants are solution of \mathcal{L} and this is a contradiction. Finally, we have necessarily $\mu = \nu + 1$ and

$$\mathcal{N} = \left(d_q - \frac{d_q(b_0)(x)}{b_0(x)}\right) \circ \mathcal{L}.$$

Let us suppose that there exists $\xi \in K^*$, such that $F(x)$ takes a value in K at ξ , as \tilde{q}^{-1} -adic analytic function. Then all the solutions of \mathcal{N} would have a zero at ξ against the fact that the constants are solutions of \mathcal{N} , hence $F(\xi) \neq 0$ is not in K . \square

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